

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Schlapp, Mercedes V

Assistant to the President and Director of Strategic Communications, White House

Date of Appointment: 11/11/2017

Other Federal Government Positions Held During the Preceding 12 Months:

Senior Advisor for Strategic Communications (9/2017 - 11/2017)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Schlapp, Mercedes V [electronically signed on 03/20/2018 by Schlapp, Mercedes V in Integrity.gov] - Filer received a 90 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Passantino, Stefan, Certifying Official [electronically signed on 05/10/2018 by Passantino, Stefan in Integrity.gov]

Other review conducted by

/s/ Gast, Scott F, Ethics Official [electronically signed on 05/10/2018 by Gast, Scott F in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 07/12/2018 by Apol, David in Integrity.gov]

Data Revised 06/19/2018

Data Revised 04/17/2018

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	M2S Corporation dba Cove Strategies		Alexandria, Virginia	Corporation	Principal	3/2009	9/2017
2	Clover Inc.	See Endnote	Alexandria, Virginia	Corporation	Director	1/2010	Present
3	National Rifle Association		Fairfax, Virginia	Non-Profit	Board of Directors	4/2015	10/2017
4	Family trust #1	See Endnote	Alexandria, Virginia	Trust	Trustee	7/2009	Present
5	Family trust #2	See Endnote	Alexandria, Virginia	Trust	Trustee	8/2010	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	M2S Corporation dba Cove Strategies	N/A		Salary	\$540,000
2	C-Fam Incorporated - 3/15/2017	N/A		Honorarium	\$1,500
3	IRA	No			
3.1	Invesco Equally-Weighted S&P 500 Fund Class A (VADAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4	SEP IRA	No			
4.1	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.2	iShares Russell 1000 Value Index (ETF) (IWD)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.3	iShares Russell 1000 Growth Index (ETF) (IWF)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
4.4	Invesco Balanced-Risk Allocation Fund Class Y (ABRYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.5	Invesco Developing Markets Fund Class Y (GTDYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	Invesco Treasurer's Series Trust Premier Portfolio Institutional Class (IPPXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.7	AQR Managed Futures Strategy Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	Blackstone Alternative Multi-Strategy I (BXMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.9	Center Coast Brookfield MLP Focus Y (CCCNX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
4.10	Dreyfus/Standish Global Fixed Income I (SDGIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.11	Goldman Sachs Intl Sm Cp Insights Instl (GICIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.12	Oakmark Investor (OAKMX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.13	JHancock International Growth I (GOGIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.14	LoCorr Macro Strategies I (LFMIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.15	Tortoise MLP & Pipeline Instl (TORIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.16	Nuance Mid Cap Value Institutional (NMVLX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.17	Metropolitan West Total Return Bd I (MWTIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.18	American Funds New World F2 (NFFFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.19	Parnassus Endeavor Investor (PARWX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.20	PIMCO Income P (PONPX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.21	Principal MidCap Institutional (PCBIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.22	Abbey Capital Futures Strategy I (ABYIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.23	T. Rowe Price Blue Chip Growth (TRBCX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.24	Tweedy, Browne Global Value (TBGVX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.25	Virtus KAR Small-Cap Core I (PKSFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.26	Virtus Newfleet Multi-Sector S/T Bd I (PIMSX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.27	iShares S&P SmallCap 600 Index (ETF) (IJR)	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.28	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.29	iShares Core MSCI EAFE ETF (IEFA)	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.30	Eaton Vance Income Fund of Boston Class I (EIBIX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
4.31	Invesco Floating Rate Fund Class Y (AFRYX)	Yes	None (or less than \$1,001)		\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	M2S Corporation dba Cove Strategies	Alexandria, Virginia	Strategic Communications
2	Barbara Bush Foundaton	Tallahassee, Florida	Strategic Communications Consultant
3	FOX News Channel	New York City, New York	Contributor
4	The Washington Times	Washington, District of Columbia	Columnist
5	National Rifle Association	Fairfax, Virginia	Strategic Communications Consultant
6	Bridgepoint	San Diego, California	Strategic Communications Consultant

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	M2S Corporation dba Cove Strategies (Governmental and Public Affairs firm)	N/A	\$1,000,001 - \$5,000,000	salary, business income	
2	Beech Knoll Holdings LLC	See Endnote	No		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1	Commercial real estate, Alexandria, VA	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
3	SEP IRA	No			
3.1	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	iShares Russell 1000 Value Index (ETF) (IWD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.3	iShares Russell 1000 Growth Index (ETF) (IWF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.4	Invesco Balanced-Risk Allocation Fund Class Y (ABRYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.5	Invesco Developing Markets Fund Class Y (GTDYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.6	Invesco Treasurer's Series Trust Premier Portfolio Institutional Class (IPPXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.7	AQR Managed Futures Strategy Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Blackstone Alternative Multi-Strategy I (BXMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.9	Center Coast Brookfield MLP Focus Y (CCCNX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.10	Dreyfus/Standish Global Fixed Income I (SDGIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.11	Goldman Sachs Intl Sm Cp Insights Instl (GICIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.12	Oakmark Investor (OAKMX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.13	JHancock International Growth I (GOGIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.14	LoCorr Macro Strategies I (LFMIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.15	Tortoise MLP & Pipeline Instl (TORIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.16	Nuance Mid Cap Value Institutional (NMVLX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.17	Metropolitan West Total Return Bd I (MWTIX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.18	American Funds New World F2 (NFFFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.19	Parnassus Endeavor Investor (PARWX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.20	PIMCO Income P (PONPX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.21	Principal MidCap Institutional (PCBIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.22	Abbey Capital Futures Strategy I (ABYIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.23	T. Rowe Price Blue Chip Growth (TRBCX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.24	Tweedy, Browne Global Value (TBGVX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.25	Virtus KAR Small-Cap Core I (PKSFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.26	Virtus Newfleet Multi-Sector S/T Bd I (PIMSX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.27	iShares S&P SmallCap 600 Index (ETF) (IJR)	Yes	None (or less than \$1,001)		\$201 - \$1,000
3.28	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Yes	None (or less than \$1,001)		\$201 - \$1,000
3.29	iShares Core MSCI EAFE ETF (IEFA)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.30	Eaton Vance Income Fund of Boston Class I (EIBIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
4	IRA	No			
4.1	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	iShares Russell 1000 Value Index (ETF) (IWD)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.3	iShares Russell 1000 Growth Index (ETF) (IWF)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.4	Invesco Balanced-Risk Allocation Fund Class Y (ABRYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	Invesco Developing Markets Fund Class Y (GTDYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	Invesco Treasurer's Series Trust Premier Portfolio Institutional Class (IPPPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	AQR Managed Futures Strategy Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	Blackstone Alternative Multi-Strategy I (BXMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	Center Coast Brookfield MLP Focus Y (CCCNX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.10	Dreyfus/Standish Global Fixed Income I (SDGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.11	Goldman Sachs Intl Sm Cp Insights Instl (GICIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.12	Oakmark Investor (OAKMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.13	JHancock International Growth I (GOGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.14	LoCorr Macro Strategies I (LFMIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
4.15	Tortoise MLP & Pipeline Instl (TORIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.16	Nuance Mid Cap Value Institutional (NMVLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.17	Metropolitan West Total Return Bd I (MWTIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.18	American Funds New World F2 (NFFFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.19	Parnassus Endeavor Investor (PARWX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.20	PIMCO Income P (PONPX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.21	Principal MidCap Institutional (PCBIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.22	Abbey Capital Futures Strategy I (ABYIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.23	T. Rowe Price Blue Chip Growth (TRBCX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.24	Tweedy, Browne Global Value (TBGVX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.25	Virtus KAR Small-Cap Core I (PKSFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.26	Virtus Newfleet Multi-Sector S/T Bd I (PIMSX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.27	iShares Currency Hedged MSCI EAFE ETF (HEFA)	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.28	iShares Core MSCI EAFE ETF (IEFA)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.29	Eaton Vance Income Fund of Boston Class I (EIBIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
5	Roth IRA	No			
5.1	American Funds Europacific Growth A AEPGX	Yes	\$1,001 - \$15,000		\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash accounts)	N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
2	U.S. bank #2 (cash accounts)	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	Virginia 529 College Savings Plan DC#1	No			
3.1	American Funds Europacific Growth 529A (CEUAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.2	American Funds Growth Fund of Amer 529A (CGFAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.3	American Funds Invmt Co of Amer 529A (CICAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4	Virginia 529 College Savings Plan DC#2	No			
4.1	American Funds Europacific Growth 529A (CEUAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.2	American Funds Growth Fund of Amer 529A (CGFAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.3	American Funds Invmt Co of Amer 529A (CICAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Virginia 529 College Savings Plan DC#3	No			
5.1	American Funds Europacific Growth 529A (CEUAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.2	American Funds Growth Fund of Amer 529A (CGFAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5.3	American Funds Invmt Co of Amer 529A (CICAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
6	Virginia 529 College Savings Plan DC#4	No			
6.1	American Funds Europacific Growth 529A (CEUAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.2	American Funds Growth Fund of Amer 529A (CGFAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.3	American Funds Invmt Co of Amer 529A (CICAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	Virginia 529 College Savings Plan DC#5	No			
7.1	American Funds Growth Fund of Amer 529A (CGFAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.2	American Funds Invmt Co of Amer 529A (CICAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.3	American Funds Capital Income Bldr 529A (CIRAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.4	American Funds Capital World Bond 529A (CCWAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.5	American Funds Capital World Gr&Inc 529A (CWIAAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8	Brokerage Account	No			
8.1	U.S. brokerage account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.2	EV Tax-Mgd Gbl Div Equity Income (EXG)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
8.3	ACAP Strategic Interval Common Fund (XCAPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
8.4	Oppenheimer Main Street A (MSIGX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
8.5	Virtus Rampart Equity Trend C (VAPCX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
8.6	Virtus Newfleet Multi-Sector S/T Bd C (PSTCX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
8.7	CPG Vintage Access Fund LLC	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Residential real estate (Alexandria, VA)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Chain Bridge Bank	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2012	4.75	25 years
2	Wells Fargo	Mortgage (investment/rental property)	\$100,001 - \$250,000	2013	4.375	30 years

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
3	CPG Vintage Access Fund LLC	Capital commitment	\$15,001 - \$50,000	2018	N/A	N/A

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	2	This entity has been inactive since September 2017, and dissolution forms for this entity have been submitted to the state of Virginia.
1.	4	Filer receives no compensation as trustee of this revocable living trust.
1.	5	Filer receives no compensation as trustee of this irrevocable living trust.
5.	2	I own a 50%, fully passive interest in this entity and my spouse owns the remaining 50% and operates the entity. The reported value and income for the underlying asset reflect the total value and income of the asset.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
