

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Barr, William Pelham**

Attorney General, Department of Justice

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Other Federal Government Positions Held During the Preceding 12 Months:

Adviser, President's Intelligence Advisory Board (9/2018 - Present)

Member, Director of Central Intelligence's External Advisory Board (5/2017 - Present)

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Names of Congressional Committees Considering Nomination:

- **Committee on the Judiciary**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Barr, William Pelham [electronically signed on 12/24/2018 by Barr, William Pelham in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Lofthus, Lee, Certifying Official [electronically signed on 01/11/2019 by Lofthus, Lee in Integrity.gov]**

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Other review conducted by

**/s/ Shaw, Cynthia K, Ethics Official [electronically signed on 01/11/2019 by Shaw, Cynthia K in Integrity.gov]**

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U.S. Office of Government Ethics Certification

/s/ Rounds, Emory, Certifying Official [electronically signed on 01/11/2019 by Rounds, Emory in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Och-Ziff Capital Management		New York, New York	Corporation	Director	8/2016	1/2018
2	Dominion Energy, Inc.		Richmond, Virginia	Corporation	Director	12/2009	Present
3	Kirkland & Ellis LLP		Washington, District of Columbia	Law Firm	Of Counsel	3/2017	Present
4	Time Warner Inc.		New York, New York	Corporation	Director	7/2009	6/2018
5	Selected Funds		Kansas City, Missouri	Mutual fund	Director	1/1994	6/2016
6	Clipper Fund		Kansas City, Missouri	Mutual fund	Director	1/1994	6/2016
7	Catholic Information Center		Washington, District of Columbia	Non-Profit	Director	7/2014	6/2017
8	U.S. Piping Foundation	See Endnote	Newark, Delaware	Non-Profit	Board Member	1/2017	12/2018
9	Revocable Family Trust		Fairfax, Virginia	Trust	Trustee	4/1999	Present
10	Barr Family LLC		Fairfax, Virginia	LLC	Manager	3/2008	Present
11	Mastiff Mhor, LLC (entity inactive)		McLean, Virginia	Corporation	Registered Agent	8/2018	Present
12	Ridgeback LLC (entity inactive)	See Endnote	Tysons Corner, Virginia	Corporation	Manager	3/2012	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	AT&T Inc. (T)	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
2	AT&T (T) Vested Stock Options	N/A	\$250,001 - \$500,000		None (or less than \$201)
3	Och-Ziff Capital Management	N/A		Director fees and redemption of restricted stock units	\$391,545
4	Dominion Energy, Inc.	N/A		Director fees	\$593,876
5	Time Warner	N/A		Director fees	\$300,470
6	Time Warner	N/A		Exercise of stock options	\$1,320,749
7	Kirkland & Ellis LLP	N/A		Salary	\$1,188,257
8	SEP IRA	No			
8.1	Bristol Myers Squibb (BMY)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
8.2	Vector Group (VGR)	N/A	\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000
8.3	J.P. Morgan Floating Rate Income Fund (JPHSX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
8.4	J.P. Morgan High Yield Fund (OHYFX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
8.5	J.P. Morgan Strategic Income Opportunity Fund (JSOSX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.6	J.P. Morgan Global Bond Opportunities (GBOSX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
8.7	U.S. bank account (cash)	N/A	\$100,001 - \$250,000		\$201 - \$1,000
9	IRA #1	No			
9.1	J.P. Morgan (preferred stock)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
9.2	U.S. bank account (cash)	N/A	\$50,001 - \$100,000		\$201 - \$1,000
10	Verizon 401(k)	No			
10.1	Fidelity Money Market Portfolio	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
10.2	Fidelity Institutional Govt Money Market	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
10.3	Verizon Stock Fund	See Endnote	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
11	IRA #2	No			
11.1	Fidelity Government Cash Reserves (FDRXX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
12	Dominion Energy, Inc. (D)	See Endnote	\$1,000,001 - \$5,000,000	Dividends	\$100,001 - \$1,000,000
13	Kirkland & Ellis, LLP, defined contribution plan	No			
13.1	Vanguard Target Retirement 2015 Trust I	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	Kirkland & Ellis, LLP, anticipated bonus	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Dominion Energy, Inc. common stock, anticipated stock award upon resignation	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)
16	AT&T		N/A	None (or less than \$1,001)	Income from corporate acquisition of Time Warner.	\$1,732,690

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Verizon Communications	See Endnote	New York, New York	I will continue to participate in this defined contribution plan. This plan sponsor no longer makes contributions.	1/1994
2	Kirkland & Ellis LLP		Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	3/2017
3	Kirkland & Ellis LLP		Washington, District of Columbia	Pursuant to the firm's established compensation program, I will receive a \$50,000 performance-based bonus for services rendered in 2018. The bonus will be paid prior to my assuming the duties of the position of Attorney General.	3/2017
4	AT&T		New York, New York	I hold vested stock options and have forfeited any unvested options.	7/2009
5	Dominion Energy, Inc.		Richmond, Virginia	My participation in this stock-grant program will terminate upon my separation from the company.	12/2009
6	Dominion Energy, Inc.		Richmond, Virginia	Pursuant to company policy, I will receive 2,000 common shares upon resignation from the board. The shares will be paid prior to my assuming the duties of the position of Attorney General.	12/2009

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME		CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Och-Ziff Capital Management		New York, New York	Corporate director
2	Dominion Energy, Inc.		Richmond, Virginia	Corporate director
3	Kirkland & Ellis LLP		Washington, District of Columbia	Attorney at law firm
4	Time Warner Inc.		New York, New York	Corporate director
5	Selected Funds		Kansas City, Missouri	Mutual funds director
6	Clipper Fund		Kansas City, Missouri	Mutual funds director
7	Credit Agricole		Paris, France, Outside U.S.	Consultant
8	Caterpillar, Inc.		Peoria, Illinois	Legal services
9	Verizon Communications	See Endnote	New York, New York	General counsel

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TIAA-CREF account	See Endnote	No		Interest	\$5,001 - \$15,000
1.1	TIAA Traditional		N/A	\$50,001 - \$100,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2	CREF Stock R3	Yes	\$100,001 - \$250,000		
1.3	CREF Stock R1	Yes	\$15,001 - \$50,000		

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Nuveen Municipal Credit Income Fund (NZF)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
2	William S. Hart Union High School District Bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Selected American Shares (SLADX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	U.S. bank account (cash) #1	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
5	J.P. Morgan Tax Free Money Market (VXPXX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
6	Dominion Energy Midstream Partners (DM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
7	Altria Group (MO)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
8	BCP VI Private Investors, LLC	Yes	\$250,001 - \$500,000		\$100,001 - \$1,000,000
9	Apollo EPF Private Investors, LLC	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
10	Starwood SOF VIII Private Investors, LLC	Yes	\$50,001 - \$100,000		\$50,001 - \$100,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	VA ST RES AUTH Infrastructure (bond)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12	General Motors (preferred stock)	N/A	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000
13	Bank of America (preferred stock)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
14	County of Braxton, West Virginia (bond)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
15	City of Glendale Water & Sewer (bond)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16	MA ST DEV FIN AGY (bond)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
17	MA ST DEV FIN AGY (bond)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
18	Norfolk VA (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
19	Northside TX Independent School District (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
20	AES CORPORATION 7.375% JUL 01 2021 DTD 08/01/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
21	County of Osceola, Florida (bond)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
22	Richmond, VA Public Utility (bond)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
23	Richmond, VA Public Utility (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
24	Richmond, VA Public Utility (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25	Richmond, VA Public Utility (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
26	City of Salem, Virginia (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
27	Upper Occoquan Sewer Authority (bond)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
28	State of Washington (bond)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
29	Western Virginia Regional (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
30	Deutsche Bank Structured Equity Note Euro Stoxx 50 Index	N/A	\$100,001 - \$250,000		None (or less than \$201)
31	Bank of America (BAC)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
32	J.P Morgan (preferred stock)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
33	Merck & Co. (MRK)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
34	Morgan Stanley (preferred stock)	N/A	\$500,001 - \$1,000,000	Dividends	\$50,001 - \$100,000
35	Pfizer (PFE)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
36	Global Access Hedge Fund, LLC	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
37	Mackay Municipal Strategic Hedge Fund	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
38	State of Ohio Hospital Facility (bond)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	GIF IV Private Investors, LLC	Yes	\$50,001 - \$100,000		\$100,001 - \$1,000,000
40	Providence VII Private Investors	Yes	\$15,001 - \$50,000		\$100,001 - \$1,000,000
41	AIRCASTLE LTD 5.125% 03/15/2021 DTD 03/26/2014 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
42	SLA Private Investors	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
43	CAP IV Private Investors, LLC	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
44	Cerberus VI Private Investors, LLC	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
45	PEG Digital Growth Fund II, LP	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
46	Angelo Gordon Opportunistic Whole Loan Select Fund	Yes	\$100,001 - \$250,000		\$100,001 - \$1,000,000
47	Benefit Street Partners IV, LLC	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
48	Blackstone GSO Private Investors, LLC	Yes	\$50,001 - \$100,000		\$100,001 - \$1,000,000
49	HPS Mezzanine III Private Investors, LLC	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
50	Providence Debt III Private Investors, LLC (investment fund)	Yes	\$250,001 - \$500,000		\$100,001 - \$1,000,000
51	Providence TMT Debt Opportunity Private Investors, LLC (investment fund)	Yes	\$15,001 - \$50,000		\$100,001 - \$1,000,000
52	Watford Re Ltd.	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
53	BSREP II Private Investors, LLC (investment fund)	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
54	SPDR Gold Shares ETF (GLD)	Yes	\$250,001 - \$500,000		None (or less than \$201)
55	District of Columbia (bond)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
56	NY ST ENVRNMNTL FACS (bond)	N/A	\$250,001 - \$500,000		None (or less than \$201)
57	University of Virginia (bond)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
58	MA ST Clean Water (bond)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
59	MET Transportation, New York, NY (bond)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
60	NY City Muni WTR (bond)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
61	Bank of America (preferred stock)	N/A	\$500,001 - \$1,000,000	Dividends	\$50,001 - \$100,000
62	J.P. Morgan (preferred stock)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
63	NiSource (preferred stock)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
64	Citigroup (preferred stock)	N/A	\$500,001 - \$1,000,000	Dividends	\$50,001 - \$100,000
65	Citigroup (preferred stock)	N/A	\$250,001 - \$500,000	Dividends	\$50,001 - \$100,000
66	J.P. Morgan Global Bond Opportunities (GBOSX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
67	North Central Texas (bond)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
68	North Texas TWY (bond)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
69	Fairfax County, VA (bond)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
70	Chicago, IL (bond)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
71	Fairfax County, VA (bond)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
72	Upper Occoquan Sewer Authority (bond)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
73	Palm Beach, FL (bond)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
74	Fairfax County, VA (bond)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
75	J.P. Morgan Mortgage Backed Securities (OMBIX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
76	Bank of America	N/A	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000
77	Citigroup	N/A	\$1,001 - \$15,000		None (or less than \$201)
78	J.P. Morgan	N/A	\$1,001 - \$15,000		None (or less than \$201)
79	REGIONS FINANCIAL CORP NT DTD 12/05/2007 7.375% DUE 12/10/2037 (bond)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
80	GMAC 8% NOV 01 2031 DTD 12/31/2008 (bond)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
81	CCO HOLDINGS LLC/CCO HOLDINGS CAP CORP 5.750% 01/15/2024 DTD 05/03/2013 (bond)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
82	ROYAL BK SCOTLND GRP PLC 6.125% 12/15/2022 DTD 12/04/2012 (bond)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
83	DISH Network Corp. 6 3/4% JUN 01 2021 DTD 05/05/2011 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
84	NOKIA CORP 5 3/8% MAY 15 2019 DTD 05/07/2009 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
85	TRANSMONTAIGE PARTNERS 6.125% 02/15/2026 DTD 02/12/2018 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
86	AMERIGAS PARTNERS, LP 5.875% 08/20/2026 DTD 06/27/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
87	TECK RESOURCES LIMITED 6% AUG 15 2040 DTD 08/17/2010 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
88	REYNOLDS GRP HOLDINGS 144A 5.75% OCT 15 2020 DTD 09/28/2012 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
89	AVIS BUDGET CAR/FINANCE 5.500% 04/01/2023 DTD 04/03/2013 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
90	CARPENTER TECHNOLOGY 4.450% 03/01/2023 DTD 02/26/2013 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
91	WPX ENERGY INC 6% JAN 15 2022 DTD 06/21/2012 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
92	LAMAR ADVERTISING CO 5.000% 05/01/2023 DTD 10/30/2012 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
93	VERISIGN INC 5.250% 04/01/2025 DTD 07/09/2015 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
94	CLEAR CHANNEL WORLDWIDE HOLDINGS 6.500% 11/15/2022 DTD 07/17/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
95	NRG ENERGY INC 6.625% 01/15/2027 DTD 08/02/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
96	NETFLIX INC 5.875% 02/15/2025 DTD 02/05/2015 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
97	MERITAGE HOMES CORP 7% APR 01 2022 DTD 08/06/2012 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
98	CF INDUSTRIES HOLDING INC 7 1/8% MAY 01 2020 DTD 04/23/2010 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
99	ALCOA CORP NT DTD 01/25/2007 5.95% DUE 02/01/2037 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
100	DCP MIDSTREAM PARTNERS 3.875% 03/15/2023 DTD 03/14/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
101	COVANTA HOLDING CORP 5.875% 03/01/2024 DTD 03/06/2014 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
102	DELL INC 4 5/8% APR 01 2021 DTD 03/31/2011 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
103	ENLINK MIDSTREAM PARTNER 5.600% 04/01/2044 DTD 03/19/2014 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
104	RADIAN GROUP INC 5.250% 06/15/2020 DTD 06/19/2015 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
105	NEWFIELD EXPLORATION CO 5.625% JUL 01 2024 DTD 06/26/2012 (bond)	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
106	VISTRA ENERGY CORP 7.625% 11/01/2024 DTD 08/17/2015 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
107	M/I HOMES INC 5.625% 08/01/2025 DTD 10/13/2017 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
108	AMERIGAS PARTNERS, LP 5.500% 05/20/2025 DTD 12/28/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
109	NABORS INDUSTRIES LTD. 4.625% SEP 15 2021 DTD 08/23/2011 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
110	FREEMPORT-MCMORAN INC. 5.450% 03/15/2043 DTD 09/15/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
111	WPX ENERGY INC SR NTS 5.75% 06/01/2026 DTD 05/23/2018 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
112	ALCOA CORP 5.9% FEB 01 2027 DTD 01/25/2007 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
113	CRESTWOOD MIDSTREAM PART 6.250% 04/01/2023 DTD 07/14/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
114	SPRINGLEAF FINANCE CORP 6.125% 05/15/2022 DTD 05/15/2017 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
115	HILTON GRAND VACA LLC/IN 6.125% 12/01/2024 DTD 01/12/2018 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
116	GANNETT CO INC 5.125% 10/15/2019 DTD 10/03/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
117	VERISIGN INC 4.625% 05/01/2023 DTD 04/16/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
118	TOLL BROS FINANCE CORP 5 7/8% FEB 15 2022 DTD 02/07/2012 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
119	CHENIERE CORPUS CHRISTI HOLDINGS, LLC 5.875% 03/31/2025 DTD 12/09/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
120	TELECOM ITALIA CAPITAL 6% 09/30/2034 DTD 9/30/2005 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
121	GOODYEAR TIRE & RUBBER 4.875% 03/15/2027 DTD 03/07/2017 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
122	CLEARWATER PAPER CORP 4.500% 02/01/2023 DTD 01/23/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
123	CENOVUS ENERGY INC 4.45% SEP 15 2042 DTD 08/17/2012 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
124	ENLINK MIDSTREAM PARTNER 4.850% 07/15/2026 DTD 07/14/2016 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
125	VIACOM INC VAR RT 02/28/2057 DTD 02/28/2017 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
126	GRAPHIC PACKAGING HOLDING CO 4.125% 08/15/2024 DTD 08/11/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
127	HILTON DOMESTIC OPERATING 4.250% 09/01/2024 DTD 08/07/2017 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
128	NUANCE COMMUNICATIONS 5.625% 12/15/2026 DTD 12/22/2016 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
129	UNITED RENTALS NORTH AM 5.500% 07/15/2025 DTD 03/26/2015 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
130	FREEMPORT-MCMORAN INC. 4.000% 11/14/2021 DTD 11/14/2014 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
131	ANTERO RESOURCES CORP 5.125% 12/01/2022 DTD 02/25/2015 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
132	CYRUSONE LP/CYRUSONE FIN 5.000% 03/15/2024 DTD 09/15/2017 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
133	B&G FOODS INC 4.625% 06/01/2021 DTD 06/04/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
134	CENTENE CORP 4.750% 01/15/2025 DTD 11/09/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
135	TARGA RESOURCES PARTNERS 5.250% 05/01/2023 DTD 10/25/2012 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
136	NIELSEN FINANCE LLC/CO 4.500% 10/01/2020 DTD 04/01/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
137	CEDAR FAIR LP/CANADA'S W 5.375% 06/01/2024 DTD 05/21/2015 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
138	TRANSDIGM GROUP INC 6.000% 07/15/2022 DTD 10/06/2014 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
139	HCA HEALTHCARE INC 4.250% 10/15/2019 DTD 10/17/2014 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
140	AMERICAN AXLE & MFG INC 6.625% OCT 15 2022 DTD 09/17/2012 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
141	GANNETT CO INC 5.125% 07/15/2020 DTD 07/29/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
142	ARAMARK SERVICES INC 5.125% 01/15/2024 DTD 12/17/2015 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
143	AECOM 5.875% 10/15/2024 DTD 10/30/2015 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
144	SCOTTS MIRACLE-GRO CO 6.000% 10/15/2023 DTD 10/15/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
145	CLEAR CHANNEL WORLDWIDE 6.500% 11/15/2022 DTD 07/17/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
146	ANIXTER INTERNATIONAL INC 5.125% 10/01/2021 DTD 09/23/2014 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
147	CHOICE HOTELS INTL INC 5.75% JUL 01 2022 DTD 06/27/2012 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
148	GOODYEAR TIRE & RUBBER 5.000% 05/31/2026 DTD 05/13/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
149	RADIAN GROUP INC 4.500% 10/01/2024 DTD 09/26/2017 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
150	COMMERCIAL METALS CO 4.875% 05/15/2023 DTD 05/20/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
151	GROUP 1 AUTOMOTIVE INC 5.000% 06/01/2022 DTD 06/15/2015 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
152	CNO FINANCIAL GROUP INC 5.250% 05/30/2025 DTD 05/19/2015 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
153	ICAHN ENTERPRISES LP/FIN 6.375% 12/15/2025 DTD 02/08/2018 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
154	CF INDUSTRIES HOLDINGS INC 5.150% 03/15/2034 DTD 03/11/2014 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
155	DIAMONDBACK ENERGY INC 5.375% 05/31/2025 DTD 07/24/2017 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
156	CDK GLOBAL INC SR NTS 5.875% 06/15/2026 DTD 06/18/2018 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
157	WESTERN DIGITAL CORP 4.750% 02/15/2026 DTD 02/13/2018 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
158	SPECTRUM BRANDS HOLDINGS INC 5.750% 07/15/2025 DTD 01/15/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
159	TELEFLEX INC 4.875% 06/01/2026 DTD 05/16/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
160	UNITED CONTINENTAL HLDGS 5.000% 02/01/2024 DTD 01/26/2017 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
161	WYNDHAM WORLDWIDE CORP 5.100% 10/01/2025 DTD 09/15/2015 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
162	GLP CAPITAL LP / FIN II 5.375% 04/15/2026 DTD 04/28/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
163	HCA HEALTHCARE INC 4.75% MAY 01 2023 DTD 10/23/2012 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
164	TENET HEALTHCARE CORP 6.000% 10/01/2020 DTD 09/27/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
165	LAMAR ADVERTISING CO 5.750% 02/01/2026 DTD 08/01/2016 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
166	EMBARQ CORP NOTES 7.995% JUN 1 2036 DTD 5/17/2006 (bond)	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
167	NOKIA OYJ 4.375% 06/12/2027 DTD 06/12/2017 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
168	MURPHY OIL CORP 6.875% 08/15/2024 DTD 08/17/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
169	FREEMPORT-MCMORAN INC. 3.875% 03/15/2023 DTD 09/15/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
170	UNIT CORP 6 5/8% MAY 15 2021 DTD 05/18/2011 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
171	RANGE RESOURCES CORP 4.875% 05/15/2025 DTD 04/08/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
172	TELECOM ITALIA CAPITAL 7.721% JUN 4 2038 DTD 06/04/2008 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
173	LENNAR CORP 4.500% 06/15/2019 DTD 02/12/2014 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
174	CHEMOURS CO 7.000% 05/15/2025 DTD 05/17/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
175	NRG YIELD OPERATING LLC 5.375% 08/15/2024 DTD 07/21/2015 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
176	CDW LLC/CDW FINANCE 5.000% 09/01/2025 DTD 03/02/2017 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
177	POLYONE CORP 5.250% 03/15/2023 DTD 09/15/2013 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
178	MASTEC INC 4.875% 03/15/2023 DTD 03/18/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
179	AES CORPORATION 4.875% 05/15/2023 DTD 04/30/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
180	WELLCARE HEALTH PLANS 5.250% 04/01/2025 DTD 03/22/2017 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
181	SM ENERGY CO 5.625% 06/01/2025 DTD 05/21/2015 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
182	CENTENE CORP 4.750% 05/15/2022 DTD 04/29/2014 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
183	CIT GROUP INC 5% AUG 15 2022 DTD 08/03/2012 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
184	SERVICE CORP INTL 5.375% 05/15/2024 DTD 10/03/2014 (bond)	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
185	SPRINGLEAF FINANCE CORP 6.875% 03/15/2025 DTD 03/12/2018 (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
186	SOUTHWESTERN ENERGY CO 4.950% 01/23/2025 DTD 01/23/2015 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
187	CINEMARK USA INC 5.125% 12/15/2022 DTD 05/23/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
188	BLUE CUBE SPINCO INC 9.750% 10/15/2023 DTD 10/03/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
189	TENET HEALTHCARE CORPORATION 4.500% 04/01/2021 DTD 09/13/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
190	ADT INC. 4.125% 06/15/2023 DTD 01/14/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
191	PTC INC 6.000% 05/15/2024 DTD 05/12/2016 (bond)	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
192	HCA HEALTHCARE INC 5.500% 06/15/2047 DTD 06/22/2017 (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
193	CROWN AMER/CAP CORP IV 4.500% 01/15/2023 DTD 11/19/2013 (bond)	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
194	ICAHN ENTERPRISES LP/FIN 6.750% 02/01/2024 DTD 05/24/2017 (bond)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
195	CIT GROUP INC 5.000% 08/01/2023 DTD 08/01/2013 (bond)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
196	UNITED RENTALS NORTH AM 5.500% 05/15/2027 DTD 11/07/2016 (bond)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
197	TECK COMINCO LIMITED NOTES 6 1/8% OCT 1 2035 DTD 9/28/2005 (bond)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
198	L BRANDS INC 5.625% 10/15/2023 DTD 10/16/2013 (bond)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
199	EQUINIX INC 5.875% 01/15/2026 DTD 12/04/2015 (bond)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
200	CENTURYLINK INC 5.8% MAR 15 2022 DTD 03/12/2012 (bond)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
201	ARCONIC INC 5.125% 10/01/2024 DTD 09/22/2014 (bond)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
202	BALL CORP 4.000% 11/15/2023 DTD 05/16/2013 (bond)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
203	DISH Network Corp. 7/8% SEP 01 2019 DTD 08/17/2009 (bond)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
204	T-MOBILE USA INC 6.375% 03/01/2025 DTD 09/05/2014 (bond)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
205	MGM RESORTS INTL 6.625% 12/15/2021 DTD 12/20/2012 (bond)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
206	ENERGY TRANSFER EQUITY 7 1/2% OCT 15 2020 DTD 09/20/2010 (bond)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
207	EMC CORP 3.375% 06/01/2023 DTD 06/06/2013 (bond)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
208	ICAHN ENTERPRISES LP/FIN 6.00% 08/01/2020 DTD 08/01/2013 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
209	CCO HLDGS LLC/CAP CORP 6 5/8% JAN 31 2022 DTD 01/26/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
210	TESORO LOGISTICS LP/CORP 5.875% 10/01/2020 DTD 04/01/2013 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
211	TESORO LOGISTICS LP/CORP 5.250% 01/15/2025 DTD 12/02/2016 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
212	WINDSTREAM CORP 7 3/4% OCT 01 2021 DTD 03/28/2011 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
213	WESTERN DIGITAL CORP (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
214	VULCAN MATERIALS 7 1/2% JUN 15 2021 DTD 06/14/2011 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
215	TRIUMPH GROUP INC 4.875% 04/01/2021 DTD 07/26/2013 (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
216	TRANSOCEAN INC 7 1/8% DEC 15 2021 DTD 12/05/2011 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
217	T-MOBILE USA INC 6.836% 04/28/2023 DTD 10/16/2013 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
218	T-MOBILE USA INC 6.731% 04/28/2022 DTD 10/16/2013 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
219	STANDARD PACIFIC CORP 5.875% 11/15/2024 DTD 11/06/2014 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
220	SPRINT NEXTEL CORP SR NOTES 8 3/8% AUG 15 2017 DTD 08/13/2009 (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
221	SMITHFIELD FOODS INC SR NOTES 7 3/4% JUL 1 2017 DTD 6/22/2007 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
222	SALLY HOLDINGS/SALLY CAP 5.75% JUN 01 2022 DTD 05/18/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
223	ROYAL CARIBBEAN CRUISES 5.250% 11/15/2022 DTD 11/07/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
224	ENERGY TRANSFER PARTNERS, LLP 6 1/2% JUL 15 2021 DTD 05/26/2011 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
225	PVH CORP 4.500% 12/15/2022 DTD 12/20/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
226	PRECISION DRILLING CORP 6.625% 11/15/2020 DTD 05/15/2011 AS OF 11/15/17 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
227	PINN FOODS FIN LLC/CORP 5.875% 01/15/2024 DTD 07/15/2016 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
228	LIMITED BRANDS INC 8 1/2% JUN 15 2019 DTD 12/15/2009 (bond)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
229	LIFEPOINT HOSPITALS INC 5.500% 12/01/2021 DTD 09/19/2014 TO CORPORATE ACTION CONTRA (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
230	AIRCASTLE LTD 6.75% APR 15 2017 DTD 06/06/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
231	AIRCASTLE LTD 7.625% APR 15 2020 DTD 06/06/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
232	ALCOA CORP 5.125% 10/01/2024 DTD 09/22/2014 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
233	ALCOA CORP 5.4% APR 15 2021 DTD 04/21/2011 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
234	AMKOR TECHNOLOGIES INC 6 5/8% JUN 01 2021 DTD 05/20/2011 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
235	ARCELORMITTAL 6.75% 03/01/2041 DTD 03/07/2011 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
236	ARCELORMITTALSTEP CPN 02/25/2022 DTD 02/28/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
237	ARCONIC INC5.4% APR 15 2021 DTD 04/21/2011 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
238	CELANESE US HOLDINGS LLC 4.625% 11/15/2022 DTD 11/13/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
239	CABLEVISION SYSTEMS CORP SR NOTES 7 3/4% APR 15 2018 DTD 04/15/2010 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
240	CIT GROUP INC5 1/4% MAR 15 2018 DTD 03/15/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
241	CIT GROUP INCSR NOTES 5.375% MAY 15 2020 DTD 05/04/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
242	CONCHO RESOURCES INC 5.5% APR 01 2023 DTD 08/17/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
243	CONSTELLATION BRANDS INC 7 1/4% MAY 15 2017 DTD 11/15/2007 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
244	CONTINENTAL RESOURCES 5% SEP 15 2022 DTD 08/14/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
245	COVANTA HOLDING CORP 6 3/8% OCT 01 2022 DTD 03/19/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
246	CROWN CASTLE INTL CORP 5.250% 01/15/2023 DTD 10/15/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
247	CST BRANDS INC 5.000% 05/01/2023 DTD 11/01/2013 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
248	D.R. HORTON INC 4.375% SEP 15 2022 DTD 09/14/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
249	DIAMOND OFFSHORE DRILL 7.875% 08/15/2025 DTD 08/15/2017 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
250	E TRADE FINANCIAL CORP 5.375% 11/15/2022 DTD 11/17/2014 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
251	FLEXTRONICS INTL LTD 144A 5.000% 02/15/2023 DTD 02/20/2013 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
252	FRONTIER COMMUNICATIONS SR NOTES 8 1/8% OCT 01 2018 DTD 10/01/2009 (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
253	GOODYEAR TIRE & RUBBER 7% MAY 15 2022 DTD 02/28/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
254	INTL LEASE FINANCE CORP 8 1/4% DEC 15 2020 DTD 12/07/2010 (bond)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
255	LAMAR ADVERTISING CO 5.875% FEB 01 2022 DTD 07/27/2012 (bond)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
256	NDI Healthcare Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
257	ILM Capital Fund	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
258	U.S. bank account (cash) #2	N/A	\$100,001 - \$250,000		None (or less than \$201)
259	Intentionally Defective Grantor Trust #1	No			
259.1	MetLife whole life insurance policy	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
260	Barr Family LLC	See Endnote			
260.1	VA State Commonwealth Transportation (bond)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
260.2	SPDR S&P 500 ETF (SPY)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
260.3	SPDR S&P MidCap 400 ETF (MDY)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
260.4	J.P. Morgan High Yield Fund (OHYFX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
260.5	J.P. Morgan Strategic Income Opportunity Fund (JSOSX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
260.6	Dominion Energy, Inc. (D)	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
260.7	J.P. Morgan Global Bond Opportunities (GBOSX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
260.8	MA ST Clean Water (bond)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
260.9	Fairfax County, VA (bond)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
260.10	NiSource (preferred stock)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
260.11	Chicago, IL (bond)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
260.12	SPDR Gold Shares ETF (GLD)	N/A	\$100,001 - \$250,000		None (or less than \$201)
260.13	AT&T (T)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
260.14	Citigroup (preferred stock)	N/A	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000
260.15	North Texas TWY (bond)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
260.16	Fairfax County, VA (bond)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
260.17	University of Virginia (bond)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
260.18	Fairfax County, VA (bond)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
260.19	J.P. Morgan Floating Rate Income Fund (JPHSX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
260.20	Wells Fargo (preferred stock)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
260.21	Deutsche Bank Structured Equity Note Euro Stoxx 50 Index	N/A	\$50,001 - \$100,000		None (or less than \$201)
260.22	U.S. bank account (cash) #3	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
260.23	Bank of America (preferred stock)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
260.24	Fairfax County, VA (bond)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
260.25	Dominion Energy Midstream Partners (DM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
260.26	Time Warner Inc. (TWX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
260.27	Washington State (bond)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
260.28	Duanesburg NY (bond)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
260.29	Loudoun County, VA (bond)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
260.30	J.P. Morgan Mortgage Backed Securities (OMBIX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
260.31	Charlottesville, VA (bond)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
260.32	J.P. Morgan (preferred stock)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
260.33	J.P. Morgan (preferred stock)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
260.34	J.P. Morgan (preferred stock)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
260.35	Bank of America (preferred stock)	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
260.36	J.P. Morgan Prime Money Market Fund (VPMXX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
261	VA State Commonwealth Transportation (bond)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	CAP IV Private Investors, LLC	See Endnote	Investment fund commitment	\$15,001 - \$50,000	2014	0	On demand
2	Benefit Street Partners IV, LLC		Investment fund commitment	\$250,001 - \$500,000	2016	0	On demand

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
3	Cerberus VI Private Investors, LLC		Investment fund commitment	\$15,001 - \$50,000	2015	0	On demand
4	BSREP II Private Investors, LLC		Investment fund commitment	\$100,001 - \$250,000	2015	0	On demand
5	HPS Mezzanine III Private Investors, LLC		Investment fund commitment	\$100,001 - \$250,000	2016	0	On demand
6	SLA Private Investors		Investment fund commitment	\$500,001 - \$1,000,000	2018	0	On demand
7	CAP V Private Investors		Investment fund commitment	\$500,001 - \$1,000,000	2018	0	On demand
8	Providence TMT Debt Opportunity Private Investors, LLC		Investment fund commitment	\$500,001 - \$1,000,000	2018	0	On demand
9	NDI Healthcare Fund		Investment fund commitment	\$100,001 - \$250,000	2010	0	On demand
10	Bank of America Visa		Credit Card	\$15,001 - \$50,000	2018	variable	revolving
11	American Express		Credit Card	\$15,001 - \$50,000	2018	variable	revolving
12	PEG Digital Growth Fund II	See Endnote	Investment fund commitment	\$10,001 - \$15,000	2014	0	On demand
13	Providence Debt III Private Investors, LLC	See Endnote	Investment fund commitment	\$15,001 - \$50,000	2015	0	On demand
14	BCP VI Private Investors, LLC	See Endnote	Investment fund commitment	\$50,001 - \$100,000	2011	0	On demand
15	Angelo Gordon Opportunistic Whole Loan Select Fund	See Endnote	Investment fund commitment	\$50,001 - \$100,000	2015	0	On demand
16	Starwood SOF VIII Private Investors, LLC	See Endnote	Investment fund commitment	\$50,001 - \$100,000	2008	0	On demand

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
17	GIF IV Private Investors, LLC	See Endnote	Investment fund commitment	\$15,001 - \$50,000	2011	0	On demand
18	Blackstone GSO Private Investors, LLC	See Endnote	Investment fund commitment	\$50,001 - \$100,000	2010	0	On demand
19	Providence VIII Private Investors	See Endnote	Investment fund commitment	\$500,001 - \$1,000,000	2018	0	On demand
20	Providence VII Private Investors		Investment fund commitment	\$100,001 - \$250,000	2011	0	On demand
21	ILM Capital		Investment fund commitment	\$500,001 - \$1,000,000	2017	0	On demand

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
1.	8	Organization supports competitions for bagpipers.
1.	12	Filer received no compensation and this entity holds no assets.
2.	10.3	This asset is no longer held.
2.	12	Common stock issued pursuant to board member position.
2.	15	2,000 common shares will be received upon resignation from the board pursuant to company policy.
3.	1	Start date is approximate.

PART	#	ENDNOTE
4.	9	The only compensation from this source during the reporting period was payment of a life insurance premium in 2016 as an executive benefit.
5.	1	Itemized income information is not provided to the filer or the filer's spouse.
6.	260	This LLC is owned 1% by the filer and his spouse, and 33% each by intentionally defective grantor trust #2, intentionally defective grantor trust #3, and intentionally defective grantor trust #4. These trusts have no other holdings.
8.	1	Capital commitment completed by December 31, 2018.
8.	12	Capital commitment completed by December 31, 2018.
8.	13	Capital commitment completed by December 31, 2018.
8.	14	Capital commitment completed by December 31, 2018.
8.	15	Capital commitment completed by December 31, 2018.
8.	16	Capital commitment completed by December 31, 2018.
8.	17	Capital commitment completed by December 31, 2018.
8.	18	Capital commitment completed by December 31, 2018.
8.	19	Capital commitment completed by December 31, 2018.



# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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