

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Pitcock, Joshua M

Assistant to the President & Chief of Staff to the Vice President, White House

Date of Termination: 07/31/2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Pitcock, Joshua M [electronically signed on 07/31/2017 by Pitcock, Joshua M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Passantino, Stefan, Certifying Official [electronically signed on 08/02/2017 by Passantino, Stefan in Integrity.gov]

Other review conducted by

/s/ Passantino, Stefan, Ethics Official [electronically signed on 08/02/2017 by Passantino, Stefan in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 08/03/2017 by Skalla, Daniel L in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Pitcock Consulting LLC	See Endnote	Washington, District of Columbia	Single-Member LLC	Owner	1/2013	11/2016

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	First Allied Roth IRA - Pershing Prime Reserves	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	First Allied Roth IRA - American Funds Growth Fund of America	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	First Allied Roth IRA - Janus Global Life Science Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	First Allied Roth IRA - Janus Global Select Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	First Allied Roth IRA - Oppenheimer Developing Markets	Yes	\$15,001 - \$50,000		None (or less than \$201)
6	First Allied Roth IRA - T Rowe Price Emerging Markets	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	First Allied Roth IRA - Proshares TR Hedged FTSE Japan ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	First Allied Roth IRA - Proshares TR Hedged FTSE Europe ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	First Allied IRA - Federated Treas Oblig Capital	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	First Allied IRA - JP Morgan Chase & Co Medium Term NTS	N/A	\$15,001 - \$50,000		None (or less than \$201)
11	First Allied IRA - VEREIT Inc PFD Ser F	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	First Allied IRA - Delaware Small Cap Core Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
13	First Allied IRA - Fidelity Advisor Mid Cap II Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
14	First Allied IRA - Fidelity Asset Manager 85% Fund		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
15	First Allied IRA - Ishares Inc Edge MSCI Minimum		Yes	\$15,001 - \$50,000		\$201 - \$1,000
16	First Allied IRA - Carter Validus Mission Critical REIT		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
17	First Allied IRA - Hospitality Investors Trust Inc. REIT	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	First Allied IRA - Benefit Street Partners REIT	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
19	First Allied IRA - Trilinc Global Impact Fund LLC		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20	First Allied SEP - Federated Treas Oblig Capital		Yes	\$1,001 - \$15,000		None (or less than \$201)
21	First Allied SEP - Blackrock Multi-Asset Income		Yes	\$15,001 - \$50,000		\$201 - \$1,000
22	First Allied SEP - Eaton Vance Floating Rate Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
23	First Allied SEP - Metropolitan West Total Return Bond		Yes	\$1,001 - \$15,000		None (or less than \$201)
24	First Allied SEP - Griffin Institutional Access Real Estate Shares		Yes	\$15,001 - \$50,000		None (or less than \$201)
25	First Allied SEP - Northern Lights FD TR III Leland		Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	First Allied Roth IRA - William Blair Small Cap Value Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	First Allied Roth IRA - Delaware Small Cap Core Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	First Allied Roth IRA - Franklin Mutual Global Discovery	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Marsh and McClellan 401k - T. Rowe Price Blue Chip Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Marsh and McClellan 401k - Dodge & Cox Stock Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank Personal Account #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	U.S. Bank Personal Account #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	U.S. Bank Personal Account #3 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
4	Residence in Washington, DC	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$2,501 - \$5,000
5	Real estate - undeveloped in Mineral, VA		N/A	\$250,001 - \$500,000		None (or less than \$201)
6	U.S. brokerage account #1 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
7	First Allied Brokerage Account - Bank of America Corp.		N/A	\$1,001 - \$15,000		None (or less than \$201)
8	First Allied Brokerage Account - Citigroup Inc.		N/A	\$1,001 - \$15,000		None (or less than \$201)
9	First Allied Brokerage Account - General Electric Co.		N/A	\$1,001 - \$15,000		None (or less than \$201)
10	First Allied Brokerage Account - Microsoft Corp.		N/A	\$1,001 - \$15,000		None (or less than \$201)
11	First Allied Brokerage Account - VREIT Inc. PFD SER F		Yes	\$1,001 - \$15,000		\$201 - \$1,000
12	First Allied Brokerage Account - Edgewood Growth		Yes	\$15,001 - \$50,000		None (or less than \$201)
13	First Allied Brokerage Account - Alger Capital Appreciation Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
14	First Allied Brokerage Account - FS Invt Corp Com		Yes	\$1,001 - \$15,000		\$201 - \$1,000
15	First Allied Brokerage Account - Growth Fund of America		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
16	First Allied Brokerage Account - Oakmark International Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
17	First Allied Brokerage Account - Lord Abbett Short Duration Income FD		Yes	\$1,001 - \$15,000		None (or less than \$201)
18	First Allied Brokerage Account - MFS Growth Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
19	First Allied Brokerage Account - Ishares TR US PFD Stk Index		Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	U.S. brokerage account #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	First Allied Brokerage Account - HSBC USA Inc Medium Term	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	First Allied Brokerage Account - Edgewood Growth Fund In	Yes	\$15,001 - \$50,000		None (or less than \$201)
23	First Allied Brokerage Account - Columbia Dividend Opportunity Fund Class A	Yes	\$15,001 - \$50,000		\$201 - \$1,000
24	First Allied Brokerage Account - Federated Strategic Value	Yes	\$15,001 - \$50,000		\$201 - \$1,000
25	First Allied Brokerage Account - First Eagle Gold Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	First Allied Brokerage Account - John Hancock Disciplined Value Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
27	First Allied Brokerage Account - Franklin Mutual Shares	Yes	\$15,001 - \$50,000		None (or less than \$201)
28	First Allied Brokerage Account - Pimco Stockplus Short Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
29	First Allied College Access 529 Plan (South Dakota) - Allianzgi NFJ Small Cap Value Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
30	First Allied College Access 529 Plan (South Dakota) - Allianzgi Focused Growth Class Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
31	First Allied College Access 529 Plan (South Dakota) - Dodge & Cox International Stock Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
32	First Allied College Access 529 Plan (South Dakota) - PIMCO Income Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
33	First Allied Brokerage Account - American Beacon FDS BDWAYLC VAL A	Yes	\$1,001 - \$15,000		None (or less than \$201)
34	First Allied Brokerage Account - Coca Cola Co	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35	First Allied Brokerage Account -PayPal Hldgs Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Griffin Institutional Access Real Estate Shares	Purchase	04/27/2017	\$15,001 - \$50,000
2	Northern Lights FD TR III Leland	Purchase	04/21/2017	\$15,001 - \$50,000
3	American Beacon FDS BDWAYLC VAL A	Purchase	06/30/2017	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	CapitalOne Bank	See Endnote	Mortgage on Personal Residence	\$250,001 - \$500,000	2012	3.375%	15 years
2	Wells Fargo Bank		Exercised Line of Credit	\$250,001 - \$500,000	2016	variable	30 years

9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	Ford's Theatre Society	Washington, District of Columbia	Two tickets to the Ford's Theatre Annual Gala on June 3-4, 2017	\$1000

Endnotes

PART	#	ENDNOTE
1.	1	Filer closed LLC on April 11, 2017 and it is no longer registered in the District of Columbia.
2.	17	This asset was previously named American Realty Capital Hospitality REIT.
2.	18	This asset was previously named Realty Finance Trust Inc REIT.
6.	4	Filer rents out lower level of personal residence.
8.	1	This mortgage on a personal residence is being reported because part of this residence is being rented to a tenant.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
