

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Bolton, John R

National Security Advisor, White House -- Trump-Pence

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Bolton, John R [electronically signed on 08/03/2018 by Bolton, John R in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Gast, Scott F, Certifying Official [electronically signed on 09/19/2018 by Gast, Scott F in Integrity.gov]

Other review conducted by

/s/ Gast, Scott F, Ethics Official [electronically signed on 09/19/2018 by Gast, Scott F in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Christopher, Dale, Certifying Official [electronically signed on 11/01/2018 by Christopher, Dale in Integrity.gov]

Data Revised 08/24/2018

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
1	Fastenal Company - Common Stock	See Endnote	Sale	07/12/2018	No	\$1,001 - \$15,000
2	General Electric Co Common Stock	See Endnote	Sale	07/12/2018	No	\$1,001 - \$15,000
3	Landstar System, Inc. - Common Stock	See Endnote	Sale	07/12/2018	No	\$50,001 - \$100,000
4	Martin Marietta Materials Inc. Common Stock	See Endnote	Sale	07/12/2018	No	\$15,001 - \$50,000
5	Medtronic Inc Common Stock	See Endnote	Sale	07/12/2018	No	\$1,001 - \$15,000
6	AO Smith Common Stock (AOS)	See Endnote	Sale	07/09/2018	No	\$15,001 - \$50,000
7	Amazon .com Inc. Common Stock AMZN	See Endnote	Sale	07/09/2018	No	\$250,001 - \$500,000
8	Berkshire Hathaway B BRK/B	See Endnote	Sale	07/09/2018	No	\$100,001 - \$250,000
9	Cooper Cos, Inc. Common Stock COO	See Endnote	Sale	07/09/2018	No	\$1,001 - \$15,000
10	Cummins Inc CMI	See Endnote	Sale	07/09/2018	No	\$15,001 - \$50,000
11	Enterprise Products Partners EPD	See Endnote	Sale	07/09/2018	No	\$15,001 - \$50,000
12	Expeditors Intl of WA Inc EXPD	See Endnote	Sale	07/09/2018	No	\$15,001 - \$50,000
13	Exxon Mobil Corp XOM	See Endnote	Sale	07/09/2018	No	\$15,001 - \$50,000
14	Fidelity Advisor Biotechnology I FBTIX	See Endnote	Sale	07/09/2018	No	\$50,001 - \$100,000
15	iShares US Aerospace & Defense ITA	See Endnote	Sale	07/13/2018	No	\$50,001 - \$100,000
16	JP Morgan Chase & Co JPM	See Endnote	Sale	07/13/2018	No	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
17	Universal Health Services UHS	See Endnote	Sale	07/13/2018	No	\$15,001 - \$50,000
18	Walt Disney Co DIS	See Endnote	Sale	07/20/2018	No	\$15,001 - \$50,000
19	Merck & Co Inc MRK	See Endnote	Sale	07/20/2018	No	\$100,001 - \$250,000
20	AT&T Inc	See Endnote	Sale	07/20/2018	No	\$1,001 - \$15,000
21	Microsoft Corp	See Endnote	Sale	07/20/2018	No	\$15,001 - \$50,000
22	Morgan Stanley	See Endnote	Sale	07/20/2018	No	\$15,001 - \$50,000
23	Vulcan Materials Co	See Endnote	Sale	07/20/2018	No	\$50,001 - \$100,000
24	Zimmer Holdings Inc	See Endnote	Sale	07/20/2018	No	\$15,001 - \$50,000
25	Tortoise MLP & Pipeline TORIX	See Endnote	Sale	07/20/2018	No	\$50,001 - \$100,000
26	2008 DIF Randolph Private Equity Fund	See Endnote	Sale	07/30/2018	No	\$1,001 - \$15,000
27	2011 DIF Randolph Private Equity fund	See Endnote	Sale	07/10/2018	No	\$1,001 - \$15,000
28	Carlyle U.S. Opportunity private equity fund	See Endnote	Sale	07/10/2018	No	\$1,001 - \$15,000
29	Creador I private equity fund	See Endnote	Sale	07/10/2018	No	\$1,001 - \$15,000
30	Creador II private equity fund	See Endnote	Sale	07/10/2018	No	\$15,001 - \$50,000
31	Energy GP III private equity fund	See Endnote	Sale	07/10/2018	No	\$15,001 - \$50,000
32	Maranon Mezzanine II private equity fund	See Endnote	Sale	07/10/2018	No	\$15,001 - \$50,000
33	Sankaty Middle Market II private equity fund	See Endnote	Sale	07/10/2018	No	\$1,001 - \$15,000
34	Saratoga Asia III Private equity fund	See Endnote	Sale	07/10/2018	No	\$1,001 - \$15,000
35	Summit Growth VIII-A Private Equity Fund	See Endnote	Sale	07/10/2018	No	\$15,001 - \$50,000
36	Whitney Strategic VII Private equity fund	See Endnote	Sale	07/10/2018	No	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
37	Rhone Capital IV LP (passive limited partnership interest)		Sale	08/02/2018	No	\$50,001 - \$100,000
38	Rhone Capital V LP (passive limited partnership interest)		Sale	08/02/2018	No	\$50,001 - \$100,000
39	Monsanto Co.	See Endnote	Sale	06/07/2018	Yes	\$15,001 - \$50,000

Endnotes

PART	#	ENDNOTE
Transactions	1	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	2	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	3	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	4	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	5	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	6	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	7	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	8	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	9	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	10	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	11	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	12	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	13	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	14	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.

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Transactions	15	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-090, 6/21/18.
Transactions	16	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-090, 6/21/18.
Transactions	17	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-090, 6/21/18.
Transactions	18	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	19	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	20	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-090, 6/21/18.
Transactions	21	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	22	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
Transactions	23	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-091, 6/21/18.
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Transactions	26	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-089, 6/21/18.
Transactions	27	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-089, 6/21/18.
Transactions	28	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-089, 6/21/18.
Transactions	29	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-089, 6/21/18.
Transactions	30	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-089, 6/21/18.
Transactions	31	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-089, 6/21/18.
Transactions	32	This sale occurred pursuant to OGE Certificate of Divestiture OGE-2018-089, 6/21/18.
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PART	#	ENDNOTE
Transactions	39	Sale occurred by operation of Bayer AG purchase of Monsanto. Reviewer note: Transaction occurred more than 45 days prior to report of transaction, but report of transaction was not more than 30 days late.

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.