



United States
Office of Government Ethics

1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

November 7, 2013

Jason Smathers
MuckRock News
DEPT MR 8396
PO Box 558390
Boston, MA 02205-5819

Tracking No.: OGE FOIA FY 14/03

Dear Mr. Smathers:

This is in response to your Freedom of Information Act (FOIA) request, which was received by the Office of Government Ethics (OGE) on October 21, 2013. In your request, you asked for a copy of the "log of all FOIA requests made in fiscal year 2013."

OGE has located 12 pages of material responsive to your request. In response, we are enclosing in part the documents, subject to deletions under FOIA Exemption 6, 5 U.S.C. § 552(b)(6) as information the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. The deletions are each marked with a reference "(b)(6)" in the enclosed copy.

The OGE official responsible for this FOIA determination is the undersigned. In accordance with the FOIA, as codified at 5 U.S.C. § 552(a)(6)(A), and OGE's FOIA regulations, at 5 C.F.R. § 2604.304, you may administratively appeal this determination to the General Counsel, Office of Government Ethics, 1201 New York Avenue, N.W., Suite 500, Washington, DC 20005-3917. Any such appeal must be in writing and must be sent within 30 days of the date you receive this response letter. If you do appeal, you should include copies of your request and this response, together with a statement of why you believe this initial determination is in error. Also, if you appeal, you should clearly indicate on the envelope and in the letter that it is a "Freedom of Information Act Appeal."

Sincerely,



Arthur Andrew Lopez
OGE FOIA Officer

Enclosures

OGE Freedom of Information Act (FOIA) Requests FY 2013
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12/4 7	Carter	RD / KC	9/12/2012	Imperfect Request	Non-electronic filing physicians for the CA region	Full Denial – Records not Reasonably Described	N/A	10/17/2012 Q1 - 1	N/A	Pending request from FY2012 Request for clarification sent 9/17/12; closed request 10/17/12
13/01	Smallberg	RD / KC	10/2/2012 Q1 - 1	10/31/2012	18 USC 207(c) waiver requests and letters issued in 1991 and 2003 for SEC positions	Partial Grant - Referral	N/A	10/15/2012- Q1 - 2	8	Partial referral to SEC
13/02	Chato	RD / KC	10/24/2012 Q1 - 2	11/27/2012	Records pertaining to the 1998 Clinton Legal Defense Fund, specifically donor lists and contribution records	Partial Grant - Exemption	(b)(5)	11/20/2012 Q1 - 3	18	
13/03	Payton	RD / KC	10/25/2012 Q1 - 3	11/28/2012 Two working days added to account for hurricane closures	Correspondence between Gov. Chris Christie and OGE from 1995 to present	Full Denial - No Records	N/A	11/7/2012 Q1 - 4	9	
13/04 PA 13/17	(b) (6)	RD / AG	10/31/2012 Q1 - 4	11/30/2012	Emails that contain the word (b) (6) produced by an electronic search of emails from certain individuals at OGE from December 2010 to October 31, 2012	Full Grant	N/A	11/8/2012 Q1 - 5	6	

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13/05 PA 13/28	(b) (6)	LF / JG	11/13/2012 Q1 - 5	12/12/2012	Appeal of 13/04 - Alleges inadequate search for emails that contain the word (b) (6) produced by an electronic search of emails from certain individuals at OGE from December 2010 to October 31, 2012	Full Grant	N/A	12/3/2012 Q1 - 6	13	Appeal - Affirmed
13/06	McElhatton	RD / KC	11/20/2012 Q1 - 6	12/19/2012	Communications between OGE and the CIA during Oct. and Nov. 2012; and between OGE and DoD from Nov. 2012 - present	Full Denial - Referral	N/A	12/7/2012 Q1 - 7	18	Referral to CIA Referral to Army Referral to OSD
13/07	Bracking	RD / KC	12/6/2012 Q1 - 7	1/4/2013	OGE Form 278 for Paul Bodnar, Foreign Affairs Officer in the Office of Global Change at the U.S. Department of State	Full Denial - Exemption	(b)(3)	12/10/2012 Q1 - 8	2	Request for Expedited Processing - Granted (No Adj.)
13/08	Ravnitzky	RD / KC	12/6/2012 Q1 - 8	1/4/2013	Letters from OGE to a Congressional Office dated between 8/1/12 and 12/11/12	Full Grant	N/A	12/12/2012 Q1 - 9	3	

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13/09	Ravnitzky	RD / KC	12/6/2012 Q1 - 9	1/4/2013	Correspondence between OGE and DOJ concerning the requirement for federal employees to file the OGE Form 278-T from 9/1/12 to 12/11/12	Partial Grant - Exemption	(b)(6)	12/17/2012 Q1 - 10	7	
13/10	Morisy	RD / KC	12/31/2012 Q1 - 10	1/30/2013	Comments received from federal agencies during the last six months on the STOCK Act	Full Grant		1/2/2013 Q2 - 1	1	Frequent Request (#1)
13/11	Lunney	RD / KC	1/7/2013 Q2 - 1	2/5/2013	Comments received from federal agencies on the STOCK Act	Full Grant		1/8/2013 Q2 - 2	1	Frequent Request (#2)
13/12	Ravnitzky	RD / KC	1/8/2013 Q2 - 2	2/6/2013	Comments received from federal agencies on the STOCK Act from Oct. 1, 2012 - present	Full Grant		1/8/2013 Q2 - 3	1	Frequent Request (#3)
13/13	Payton	RD / KC	1/14/2013 Q2 - 3	2/12/2013	Correspondence between now-former Senator Scott Brown and the Director from 2010 to the present	Full Denial - No Records		1/28/2013 Q2 - 4	9	

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13/14	Ravnitzky	RD / KC	1/16/2013 Q2 - 4	2/14/2013	A copy of the Ethics Complaint filed by a variety of organizations with OGE concerning Mitt Romney, and a copy of any memos associated with or resulting from this Complaint, including the resulting ethics opinion	Full Grant		1/30/2013 Q2 - 5	9	
13/15	Ravnitzky	RD / KC	1/16/2013 Q2 - 5	2/14/2013	A copy of records mentioning steps being taken by OGE to address the high rate of tax delinquencies among OGE employees	Full Grant		1/28/2013 Q2 - 6	7	Frequently Requested (#1)
13/16	Ravnitzky	RD / KC	1/22/2013 Q2 - 6	2/20/2013	A copy of OGE's sequestration plan	Full Denial - No Records		1/30/2013 Q2 - 7	6	
13/17	Greenewald	RD / KC	1/22/2013 Q2 - 7	2/20/2013	A copy of OGE's FOIA case log for CY 2012	Partial Grant - Exemption		1/28/2013 Q2 - 8	4	
13/18	Morisy	RD / KC	1/22/2013 Q2 - 8	2/20/2013	OGE Ethics Pledge Assessment Reports for 2009-2012	Partial Grant - No Records		1/28/2013 Q2 - 9	4	Fee Waiver Granted - (No Adj.) Frequently Requested (#1)

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13/19	Williams	RD / KC	1/22/2013 Q2 - 9	2/20/2013	Rules of guidelines of categories that are of concern to your office	Partial Grant - No Records		1/28/2013 Q2 - 10	4	
13/20	Ravnitzky	RD / KC	1/24/2013 Q2 - 10	2/22/2013	OGE Ethics Pledge Assessment Reports for 2009-2012	Partial Grant - No Records		1/28/2013 Q2 - 11	2	Frequently Requested (#2)
13/21	Hershberg	RD / KC	2/7/2013 Q2 - 11	3/8/2013	A copy of OGE's policy, procedure, regulations, and/or guidelines outlining employee misconduct; and a copy of OGE's complaint form for reporting federal employee misconduct	Partial Grant - No Records		2/19/2013 Q2 - 12	7	
13/22	Bailey	RD / KC	2/7/2013 Q2 - 12	3/8/2013	Records concerning, regarding, or related to agency efforts to reduce the rate of tax delinquency among federal employees	Full Grant		2/19/2013 Q2 - 13	7	Frequently Requested (#2)

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13/23	Gerstein	RD / KC	2/11/2013 Response time tolled until 2/19/13 to clarify request Q2 - 13	3/18/2013 10-day extension deadline 4/1/13	Records of contact between OGE and members of the White House staff regarding ethics, COI, and FD matters and policy			Pending		Clarified on 2/19/13 to exclude financial disclosure forms and ethics waivers Consultation with WH Batch 2 - Discretionary release of portions of documents that could have been withheld pursuant to (b)(5)
13/24	Troutman	RD / KC	2/12/2013 Q2 - 14	3/13/2013	Name and email address for employees who serve in certain positions at OGE	Partial Grant - No Records		2/19/2013 Q2 - 14	4	
13/25	Cantergiani	KC	2/25/2013 Q2 - 15	3/17/2013	Information about missing or stolen government owned items from 2007 - 2012.	Full Release	N/A	3/13/2013 Q2 - 15	12	Request for Expedited Processing (No. Adj.)
13/26	Morisy	AL / KC	3/13/2013 Q2 - 16	4/9/2013	Copies of contracts with Booz Allen Hamilton over the past 5 years and any final reports generated and delivered by Booz Allen Hamilton to OGE over the past 5 years	Full Denial - No Records	N/A	4/2/2013 Q3 - 1	15	

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13/27	Payton	KC	3/21/2013 Q2 - 17	4/18/2013	Copies of official correspondence (including constituent correspondence) between Representative Bachmann and the Director and the Principal Deputy Director from January 3, 2007 to the present.	Full Denial - No Records	N/A	4/2/2013 Q3 - 2	9	
13/28	Scheidel	KC	3/22/2013 Q2 - 18	4/19/2013	Reports showing all RTC regulations submitted to the OGE which were placed on hold during 1991-1994 set to expire as December 31, 1994 without action by the RTC or FDIC; and a copy of the letter or letters to the FDIC informing them of the expiration of the RTC's requests for approval of 12 CFR 1605.44	Partial Grant - Referral	N/A	4/9/2013 Q3 - 3	13	

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13/29	Bailey	AL / DF	4/9/2013 Q3 - 1	5/7/2013	Appeal of 13/22 - Alleges that OGE failed to state which exemption was used for each "unresponsive" portion of the records provided in response to the request for records concerning, regarding, or related to agency efforts to reduce the rate of tax delinquency among federal employees	Full Grant		4/22/2013 Q3 - 4	9	Appeal - Reversed / Remanded
13/30	Berg	KC	4/15/2013 Q3 - 2	5/13/2013	All documents or memos regarding guidelines for appropriate office apparel.	Full Grant		4/24/2013 Q3 - 5	8	
13/31 PA 13/3/9	(b) (6)	RD/KC	4/15/2013 Q3 - 3	5/13/2013	Copy of background investigation and findings for (b) (6) (b) (6) (b) (6)	Full Denial - No Records		4/17/2013 Q3 - 6	2	

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13/32	Ravnitzky	MA/KC	4/22/2013 Q3 - 4	5/20/2013	A copy of each written response or letter from OGE to a Congressional Committee (not a congressional office) (or Committee Chair) in calendar years 2010, 2011, 2012 and 2013 to date	Full Grant		5/20/2013 Q3 - 7	20	Frequently Requested (#1)
13/33	Ravnitzky	MA/KC	4/29/2013 Q3 - 5	5/28/2013 10-day extension deadline 6/11/13	Records describing the impact of federal budget sequestration upon OGE's operations and functions; limited to records created or finalized since February 1, 2013.	Partial Grant - Exemptions	(b)(5) and (b)(6)	6/11/2013 Q3 - 8	30	Includes the discretionary release of a document that could have been withheld pursuant to (b)(5).
13/34 PA 13/4/10	(b) (6)	KC	4/29/2013 Q3 - 6	5/24/2013	Copies of Passport, application for citizenship, and alien registration	Full Denial - No Records		4/30/2013 Q3 - 9	1	
13/35 PA 13/5/11	(b) (6)	KC	5/1/2013 Q3 - 7	5/28/2013	All records relating to DoD Ethics Review ordered by Leon Panetta in November 2012	Partial Grant - Referral		5/21/2013 Q3 - 10	15	

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13/36	Morisy	MA/KC	5/6/2013 Q3 - 8	6/4/2013	A copy of all responses sent to Congressional committees between Jan. 1, 2008 and the date that this request is processed	Full Grant		6/5/2013 Q3 - 11	21	Received two other duplicate requests. Frequently Requested (#2)
13/37	McElhatton	AL/KC	5/20/2013 Q3 - 9	6/18/2013	Copies of all correspondence, including email, to and from the Department of Health and Human Services from May 10, 2013 to May 20, 2013.	Full Grant		6/11/2013 Q3 - 12	11	
13/38	Momoh	RD/KC	5/24/2013 Q3 - 10	6/24/2013	Records related to an FBI and HHS/OIG investigation	Full Denial - No Records		6/5/2013 Q3 - 13	7	
13/39	Brown	KC	5/28/2013 Q3 - 11	6/25/2013	The Organizational Charts for the CIO, including the names and titles/departments of the people who report to the CIO.	Partial Grant - No Records		5/29/2013 Q3 - 14	1	
13/40	Negrone	DC	7/16/2013 Q4 - 1	8/13/2013	Information about public financial disclosure report filers	Partial Grant - No Records		8/13/2013 Q4 - 1	20	
13/41	Yang	MA	7/23/2013 Q4 - 2	8/20/2013	Information on a 2000 or 2001 hearing involving an expert witness	Full Denial - No Records		8/1/2013 Q4 - 2	7	

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13/42	Chin	MA	8/12/2013 Response time tolled until 8/19 to clarify fee category Q4 - 3	9/10/2013 Tolled 5 days (fee category); deadline 9/17/13 10-day extension deadline 10/1/13	Copies of public comments for 10 proposed rules	Partial Grant (Exemptions)	(b)(6)	10/17/2013	41	Responded in FY14
13/43	Vance	DC	8/14/2013 Q4 - 4	9/12/2013	Copy of the first 100 pages of the most current DAEO list	Full Grant		8/22/2013 Q4 - 3	6	
13/44	Foreman	MA	9/16/2013 Q4 - 5	10/15/2013	DC's public records statutes; federal statutes that govern OGE; and any other relevant documents that explain the functions and operations of OGE, including a list of employee personnel	Partial Grant - No Records		9/23/2013 Q4 - 4	5	Request for Expedited Processing - Granted (No Adj.)
13/45	Cusack	DC	9/25/13 Q4 - 6	10/24/2013	FOIA log from Jan. 1, 2013 through the date this request letter is answered			Pending		Responded in FY14

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13/46	Ravnitzky	HM	9/30/2013 Q4 - 7	10/29/2013 Tolled 1 day (clarify); deadline 10/30/13	Log of Congressional inquiries	Partial Grant - No Records Partial Grant - Exemption	(b)(6)	10/31/2013	21	Responded in FY14
13/47	Ravnitzky	MA	9/30/2013 Q4 - 8	10/29/2013	2007 Budget Submission/Annual Performance Plan	Full Grant		10/28/2013	19	Responded in FY14