Nominee Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (March 2014)

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

Huntsman, Jon Meade

Ambassador to the Russian Federation, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

Committee on Foreign Relations

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Huntsman, Jon Meade [electronically signed on 04/06/2017 by Huntsman, Jon Meade in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 07/24/2017 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 07/28/2017 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

| #  | ORGANIZATION NAME                     | CITY, STATE                            | ORGANIZATION<br>TYPE   | POSITION HELD                         | FROM   | ТО      |
|----|---------------------------------------|--|------------------------|---------------------------------------|--------|---------|
| 1  | Hilton Worldwide                      | McLean, Virginia                       | Corporation            | Board Director                        | 8/2015 | Present |
| 2  | Ford Motor Company                    | Dearborn,<br>Michigan                  | Corporation            | Board Director                        | 6/2012 | Present |
| 3  | Caterpillar                           | Peoria, Illinois                       | Corporation            | Board Director                        | 6/2012 | Present |
| 4  | Chevron                               | San Ramon,<br>California               | Corporation            | Board Director                        | 1/2014 | Present |
| 5  | Huntsman Corporation                  | The Woodlands,<br>Texas                | Corporation            | Board Director                        | 2/2012 | 8/2015  |
| 6  | Atlantic Council                      | Washington,<br>District of<br>Columbia | Non-Profit             | Chairman                              | 1/2014 | Present |
| 7  | University of Pennsylvania            | Philadelphia,<br>Pennsylvania          | University/Colle<br>ge | Trustee                               | 9/1995 | 9/2016  |
| 8  | US Naval Academy Foundation           | Annapolis,<br>Maryland                 | University/Colle<br>ge | Board Member                          | 5/2013 | Present |
| 9  | Ronald Reagan Presidential Foundation | Simi Valley,<br>California             | Non-Profit             | Member of the<br>Board of<br>Trustees | 5/2013 | Present |
| 10 | Huntsman Cancer Foundation            | Salt Lake City,<br>Utah                | Non-Profit             | Chairman                              | 1/2012 | Present |
| 11 | Huntsman Corporation                  | The Woodlands,<br>Texas                | Corporation            | Consultant                            | 5/2012 | Present |

# 2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION                 | EIF | VALUE | INCOME TYPE | INCOME<br>AMOUNT |
|---|-----------------------------|-----|-------|-------------|------------------|
| 1 | NMS Group, Inc - 02/07/2016 | N/A |       | honorarium  | \$40,000         |

| #  | DESCRIPTION   | EIF | VALUE                        | INCOME TYPE                | INCOME<br>AMOUNT             |
|----|---|-----|------------------------------|----------------------------|------------------------------|
| 2  | Hilton Worldwide  | N/A |                              | Director Fees              | \$121,000                    |
| 3  | Ford Motor Company, Restricted Stock Units (RSUs)             | N/A | \$250,001 -<br>\$500,000     | Dividends                  | \$15,001 -<br>\$50,000       |
| 4  | Caterpillar   | N/A |                              | Director Fees              | \$187,500                    |
| 5  | Chevron   | N/A | \$500,001 -<br>\$1,000,000   | Dividends<br>Capital Gains | \$15,001 -<br>\$50,000       |
| 6  | Caterpillar, Restricted Stock Units (RSUs)                    | N/A | \$100,001 -<br>\$250,000     |                            | None (or less<br>than \$201) |
| 7  | Huntsman International, LLC, defined See Endnote benefit plan | N/A | \$1,000,001 -<br>\$5,000,000 |                            | None (or less<br>than \$201) |
| 8  | Huntsman International, LLC, cash balance pension plan        | N/A | \$250,001 -<br>\$500,000     |                            | None (or less<br>than \$201) |
| 9  | Huntsman Corporation  | N/A | \$500,001 -<br>\$1,000,000   | consulting fees            | \$700,000                    |
| 10 | Chevron   | N/A |                              | Director Fees              | \$200,000                    |
| 11 | Hilton, Inc - travel benefit                                  | N/A |                              | Travel benefit             | \$6,147                      |
| 12 | Commonfund - 02/28/2016                                       | N/A |                              | honorarium                 | \$28,000                     |
| 13 | NBC Universal Media - 03/01.2016                              | N/A |                              | honorarium                 | \$25,000                     |
| 14 | Ironshore - 03/21/2016  | N/A |                              | honorarium                 | \$32,000                     |
| 15 | Leading Authorities, Inc - 04/07/2016                         | N/A |                              | honorarium                 | \$32,000                     |
| 16 | Saint Mary's University of Minnesota -<br>04/20/2016          | N/A |                              | honorarium                 | \$32,000                     |
| 17 | Brown Advisory - 04/24/2016                                   | N/A |                              | honorarium                 | \$20,000                     |
| 18 | JP Morgan Chase & Co - 04/26/2016                             | N/A |                              | honorarium                 | \$32,000                     |
| 19 | Babson Capital Management - 06/08/2016                        | N/A |                              | honorarium                 | \$32,000                     |
| 20 | HSBC - 08/01/2016   | N/A |                              | honorarium                 | \$20,000                     |
| 21 | World 50, Inc - 09/22/2016                                    | N/A |                              | honorarium                 | \$32,000                     |
| 22 | Gerson Lehrman Group - 11/01/2016                             | N/A |                              | honorarium                 | \$16,000                     |

| #    | DESCRIPTION  |             | EIF | VALUE                          | INCOME TYPE                | INCOME<br>AMOUNT             |
|------|--|-------------|-----|--------------------------------|----------------------------|------------------------------|
| 23   | Bank of America Merrill Lynch - 11/02/2016                         |             | N/A |                                | honorarium                 | \$40,000                     |
| 24   | Gerson Lehrman Group - 11/03/2016                                  | -           | N/A |                                | honorarium                 | \$16,000                     |
| 25   | ReliaMax Holding Company - 02/28/2017                              |             | N/A |                                | honorarium                 | \$16,000                     |
| 26   | Hilton Worldwide, deferred stock units (DSUs)                      |             | N/A | \$500,001 -<br>\$1,000,000     |                            | None (or less<br>than \$201) |
| 27   | Huntsman Salary Deferral Plan (HSDP)<br>(defined contibution plan) | See Endnote | No  |                                |                            | None (or less<br>than \$201) |
| 27.1 | - HSDP-TRP NEW America Growth                                      |             | Yes | \$15,001 -<br>\$50,000         |                            | \$1,001 - \$2,500            |
| 27.2 | HSDP-Vanguard inst Index (VINIX)                                   |             | Yes | \$50,001 -<br>\$100,000        |                            | \$1,001 - \$2,500            |
| 27.3 | - HSDP-Fidelity International Discovery CL K<br>(FIDKX)            |             | Yes | \$50,001 -<br>\$100,000        |                            | \$1,001 - \$2,500            |
| 27.4 | - HSDP-Vanguard Retirement Savings Trust II                        |             | Yes | \$250,001 -<br>\$500,000       |                            | \$5,001 - \$15,000           |
| 28   | Ford Motor Company   |             | N/A |                                | Imputed income on benefits | \$306,799                    |
| 29   | Ford Motor Company   | See Endnote | N/A |                                | Cash director<br>fees      | \$100,000                    |
| 30   | Chevron  | See Endnote | N/A | None (or less<br>than \$1,001) | Imputed income on benefits | \$1,116                      |
| 31   | Chevron, Restricted Stock Units (RSUs)                             |             | N/A | \$100,001 -<br>\$250,000       |                            | None (or less<br>than \$201) |
| 32   | Caterpillar  |             | N/A | \$500,001 -<br>\$1,000,000     | Dividends                  | \$5,001 - \$15,000           |

# 3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY           | CITY, STATE             | STATUS AND TERMS  | DATE    |
|---|-----------------------------|-------------------------|---|---------|
| 1 | Hilton Worldwide            | McLean, Virginia        | Pursuant to the company's 2013 Omnibus Incentive<br>Plan, my deferred stock units (DSU) vest upon grant,<br>and upon separation, I will receive one share of<br>Hilton common stock for each DSU I hold.  | 8/2015  |
| 2 | Ford Motor Company          | Dearborn,<br>Michigan   | (a) I participate in the Ford Motor Company's 2014 Stock Plan for Non-Employee Directors, under which I receive Restricted Stock Units (RSUs). Pursuant to the plan, my RSUs vest upon grant, and upon separation, I will receive one share of Ford Motor Company common stock for each RSU I hold. (b) As a Director for the Ford Motor Company, I receive certain benefits, including evaluation vehicles, tax reimbursement, reimbursement for holiday gifts, health insurance, and life insurance. Upon separation, I will no longer receive any of these benefits from the Ford Motor Company. | 2/2014  |
| 3 | Huntsman International, LLC | The Woodlands,<br>Texas | I am a participant in the Huntsman Salary deferral<br>plan, sponsored by Huntsman International, LLC. My<br>future interest is first payable, without incurring<br>penalties, at age 59 1/2. Benefits are calculated based<br>on service performed through 2004. No contributions<br>made by Huntsman International post 2004.  | 10/1983 |
| 4 | Huntsman International, LLC | The Woodlands,<br>Texas | I am a participant in the defined benefit plan sponsored by Huntsman International, LLC. My full benefit is payable at age 65, calculated for service performed through 2004, plus annual interest credits earned prior to retirement. I am eligible for a reduced monthly annuity of \$2,400 payable immediately. No contributions made by Huntsman International post 2004.   | 10/1984 |
| 5 | Huntsman International, LLC | The Woodlands,<br>Texas | I am a participant in the Huntsman Supplemental Executive Retirement Plan. sponsored by Huntsman International, LLC. I have a future non-funded interest which is payable at age 65. Benefits are calculated based on service performed through 2004. No contributions made by Huntsman International post 2004. Monthly annuity of \$30,655 begins at age 65.  | 10/1984 |

| # | EMPLOYER OR PARTY           | CITY, STATE              | STATUS AND TERMS  | DATE    |
|---|-----------------------------|--------------------------|---|---------|
| 6 | Huntsman International, LLC | The Woodlands,<br>Texas  | I am a participant in the Huntsman money purchase pension plan, sponsored by Huntsman International, LLC. My future interest is first payable, without incurring penalties, at age 59 1/2. (My MPPP balance was rolled into the Salary Deferral Plan on 10/16/15). Benefits are calculated based on service performed through 2004. No contributions made by Huntsman International post 2004.  | 10/1985 |
| 7 | Caterpillar                 | Peoria, Illinois         | I participate in the Caterpillar Inc. 2014 Long-Term Incentive Plan, under which I receive Restricted Stock Units. Pursuant to the plan, all of my outstanding RSUs vest if I remain a Director until September 7, 2017, and would be delivered on March 7, 2018 or no later than 60 days after March 7, 2018. If I separate from the Board before September 7, 2017, I will forfeit the RSUs. Other than Director fees and RSUs, I receive no other benefits from Caterpillar Inc.   | 3/2014  |
| 8 | Chevron                     | San Ramon,<br>California | I participate in Chevron's Director Compensation Plan, under which I receive Restricted Stock Units. Pursuant to the plan, my outstanding RSUs vest when I resign from the Board and will be delivered to me in shares of Chevron Corporation common stock within three days, provided that there is no legal impediment for me to receive them. I also receive life insurance, a discount gas credit card, and charitable matching contributions. Upon resignation from the Board, my life insurance benefit will cease, but I will retain the other benefits. | 5/2015  |
| 9 | Huntsman International LLC  | The Woodlands,<br>Texas  | I am a participant in the Huntsman Cash Balance<br>Pension Plan, sponsored by Huntsman International,<br>LLC. My future interest is first payable at age 65,<br>calculated based on service performed through 2004.<br>No contributions made by Huntsman International<br>post 2004.  | 10/1984 |

# 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

| # | SOURCE NAME | CITY, STATE              | BRIEF DESCRIPTION OF DUTIES |
|---|-------------|--------------------------|-----------------------------|
| 1 | Chevron     | San Ramon,<br>California | Serve as a board director.  |

| #  | SOURCE NAME                                       | CITY, STATE                            | BRIEF DESCRIPTION OF DUTIES         |
|----|---|--|-------------------------------------|
| 2  | Ford Motor Company                                | Dearborn,<br>Michigan                  | Serve as a board director.          |
| 3  | Caterpillar                                       | Peoria, Illinois                       | Serve as a board director.          |
| 4  | Hilton Worldwide                                  | McLean, Virginia                       | Serve as a board director.          |
| 5  | Huntsman Corporation                              | The Woodlands,<br>Texas                | Board Director and consulting fees. |
| 6  | Indiana University                                | Bloomington,<br>Indiana                | Speaking engagement                 |
| 7  | Eurasia Group US Ltd.                             | New York, New<br>York                  | Speaking engagement                 |
| 8  | Ringling College Library Association              | Sarasota,<br>Florida                   | Speaking honorarium                 |
| 9  | MatureHealth Communications                       | Cranford, New<br>Jersey                | Speaking honorarium                 |
| 10 | Advanced Medical Technology Association (AdvaMed) | Washington,<br>District of<br>Columbia | speaking honorarium                 |
| 11 | World 50, Inc                                     | New York, New<br>York                  | speaking honorarium                 |
| 12 | Fidelity Investments                              | Boston,<br>Massachusetts               | speaking honorarium                 |
| 13 | Sidley Austin LLP                                 | Chicago, Illinois                      | speaking honorarium                 |
| 14 | HSBC  | New York, New<br>York                  | speaking honorarium                 |
| 15 | Invest In the USA (IIUSA)                         | Dallas, Texas                          | speaking honorarium                 |
| 16 | Roanoke College                                   | Salem, Virginia                        | speaking honorarium                 |
| 17 | NMS Group   | Scottsdale,<br>Arizona                 | speaking honoarium                  |
| 18 | Commonfund  | Orlando, Florida                       | speaking honoarium                  |
| 19 | NBC Universal                                     | Pasadena,<br>California                | speaking honoarium                  |

| #  | SOURCE NAME                          | CITY, STATE                            | BRIEF DESCRIPTION OF DUTIES |  |
|----|--------------------------------------|--|-----------------------------|--|
| 20 | Ironshore                            | Palm Beach,<br>Florida                 | speaking honoarium          |  |
| 21 | Leading Authorities, Inc             | Chicago, Illinois                      | speaking honoarium          |  |
| 22 | Saint Mary's University of Minnesota | Minneapolis,<br>Minnesota              | speaking honoarium          |  |
| 23 | Brown Advisory                       | Washington,<br>District of<br>Columbia | speaking honoarium          |  |
| 24 | JP Morgan Chase                      | Chicago, Illinois                      | speaking honoarium          |  |
| 25 | Babson Capital                       | Charlotte, North<br>Carolina           | speaking honoarium          |  |
| 26 | Gerson Lehrman Group                 | Beijing, Outside<br>U.S.               | speaking honoarium          |  |
| 27 | Bank of America Merrill Lynch        | Beijing, Outside<br>U.S.               | speaking honoarium          |  |
| 28 | ReliaMax Holding Company             | Washington,<br>District of<br>Columbia | speaking honoarium          |  |

# 5. Spouse's Employment Assets & Income and Retirement Accounts

None

## 6. Other Assets and Income

| # | DESCRIPTION  | EIF | VALUE              | INCOME TYPE | INCOME<br>AMOUNT             |
|---|--|-----|--------------------|-------------|------------------------------|
| 1 | Huntsman Cooper, LC - UBS Financial<br>Account - RMA Government Money Market<br>FD | Yes | \$1,001 - \$15,000 |             | None (or less<br>than \$201) |

| #  | DESCRIPTION  |             | EIF | VALUE                          | INCOME TYPE          | INCOME<br>AMOUNT             |
|----|--|-------------|-----|--------------------------------|----------------------|------------------------------|
| 2  | Huntsman Cooper, LC - UBS Financial<br>Account - UBS Bank USA Business Account<br>(cash)               |             | N/A | \$1,001 - \$15,000             |                      | None (or less<br>than \$201) |
| 3  | Huntsman Cooper, LC - UBS Financial<br>Account - SDPR S&P 500 ETF TR                                   |             | Yes | \$100,001 -<br>\$250,000       |                      | \$2,501 - \$5,000            |
| 4  | Huntsman Cooper, LC - UBS Financial<br>Account - Columbia Large Growth Cap Fund<br>III Class A         |             | Yes | \$1,001 - \$15,000             |                      | None (or less<br>than \$201) |
| 5  | Huntsman Cooper, LC - UBS Financial<br>Account - Nuveen Tradewinds Value<br>Opportunities Fund Class A |             | Yes | \$1,001 - \$15,000             |                      | \$2,501 - \$5,000            |
| 6  | Huntsman Cooper, LC - UBS Financial<br>Account - Thornburg International. Value<br>Fund Class A        |             | Yes | \$1,001 - \$15,000             |                      | None (or less<br>than \$201) |
| 7  | Huntsman Cooper, LC - UBS Financial<br>Account - Oakmark Equity and Income Fund<br>Call I              |             | Yes | \$15,001 -<br>\$50,000         |                      | \$1,001 - \$2,500            |
| 8  | Huntsman Cooper, LC - UBS Financial<br>Account - American Funds Capital Inc Bldr F-<br>1               |             | Yes | \$15,001 -<br>\$50,000         |                      | \$1,001 - \$2,500            |
| 9  | Q-BLK Appreciation Fund II, LLC  | See Endnote | No  | None (or less<br>than \$1,001) |                      | \$1,001 - \$2,500            |
| 10 | Zions Capital Advisors Financial Account -<br>Fidelity cash reserve                                    |             | Yes | \$1,001 - \$15,000             |                      | None (or less<br>than \$201) |
| 11 | Zions First National Bank - JT checking  |             | N/A | \$50,001 -<br>\$100,000        |                      | None (or less<br>than \$201) |
| 12 | Zions First National Bank - Money Market   |             | N/A | \$100,001 -<br>\$250,000       | Interest             | \$201 - \$1,000              |
| 13 | Zions First National Bank - savings for DC1  |             | N/A | \$15,001 -<br>\$50,000         | Interest             | \$201 - \$1,000              |
| 14 | Zions First National Bank - Savings for DC2  |             | N/A | \$15,001 -<br>\$50,000         | Interest             | None (or less<br>than \$201) |
| 15 | Residential rental unit- Coronado, CA  |             | N/A | \$1,000,001 -<br>\$5,000,000   | Rent or<br>Royalties | \$100,001 -<br>\$1,000,000   |

| #  | DESCRIPTION  |             | EIF | VALUE                          | INCOME TYPE  | INCOME<br>AMOUNT             |
|----|--|-------------|-----|--------------------------------|--|------------------------------|
| 16 | Merrill Lynch Financial Account - Cash   |             | N/A | \$15,001 -<br>\$50,000         |  | None (or less<br>than \$201) |
| 17 | HSDP - TRP NEW America Growth  |             | Yes | \$15,001 -<br>\$50,000         |  | \$1,001 - \$2,500            |
| 18 | HSDP - Vanguard Inst Index (VINIX)   |             | Yes | \$50,001 -<br>\$100,000        |  | \$1,001 - \$2,500            |
| 19 | HSDP - Fidelity International Discovery CL K<br>(FIDKX)                                      |             | Yes | \$50,001 -<br>\$100,000        |  | \$1,001 - \$2,500            |
| 20 | HSDP - Vanguard Retirement Savings Trust II  |             | Yes | \$250,001 -<br>\$500,000       |  | \$5,001 - \$15,000           |
| 21 | Q-BLK Appreciation Fund II, LLC  | See Endnote | No  | None (or less<br>than \$1,001) | Dividends<br>Capital Gains<br>Interest<br>Rent or<br>Royalties | \$2,501 - \$5,000            |
| 22 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Fidelity Cash Resv                   |             | Yes | None (or less<br>than \$1,001) |  | \$201 - \$1,000              |
| 23 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Mmkt Obligs Fed Govt Prem            |             | Yes | \$15,001 -<br>\$50,000         |  | None (or less<br>than \$201) |
| 24 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Vanguard Mid Cap Value Index<br>VIP  |             | Yes | \$50,001 -<br>\$100,000        |  | \$201 - \$1,000              |
| 25 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Vanguard Stock Mkt ETF               |             | Yes | \$100,001 -<br>\$250,000       |  | \$1,001 - \$2,500            |
| 26 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Harding Loevner Inst Emerg l         |             | Yes | \$50,001 -<br>\$100,000        |  | \$201 - \$1,000              |
| 27 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - T Rowe Price Intl Discover y         |             | Yes | \$50,001 -<br>\$100,000        |  | \$1,001 - \$2,500            |
| 28 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Vanguard All World ex - US           |             | Yes | \$250,001 -<br>\$500,000       |  | \$5,001 - \$15,000           |
| 29 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - ACCENTURE PLC IRELAND SHS<br>CLASS A |             | Yes | \$1,001 - \$15,000             |  | None (or less<br>than \$201) |

| #  | DESCRIPTION   | EIF | VALUE                  | INCOME TYPE                | INCOME<br>AMOUNT             |
|----|---|-----|------------------------|----------------------------|------------------------------|
| 30 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Adient PLC                            | N/A | \$1,001 - \$15,000     |                            | None (or less<br>than \$201) |
| 31 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - APPLE INC COM                         | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 32 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - AT&T INC COM                          | N/A | \$1,001 - \$15,000     | Capital Gains<br>Dividends | \$201 - \$1,000              |
| 33 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - BLACKROCK INC COM                     | N/A | \$15,001 -<br>\$50,000 | Capital Gains<br>Dividends | \$201 - \$1,000              |
| 34 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - CISCO SYS INC COM                     | N/A | \$1,001 - \$15,000     | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 35 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - COCA COLA CO COM                      | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 36 | Huntsman - Cooper, LC  -  Fidelity Financial<br>Account  -  CVS HEALTH CORP COM               | N/A | \$1,001 - \$15,000     | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 37 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - DISCOVER FINL SVCS COM                | N/A | \$15,001 -<br>\$50,000 |                            | None (or less<br>than \$201) |
| 38 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - DU PONT E I DE NEMOURS & CO<br>COM    | N/A | \$1,001 - \$15,000     |                            | None (or less<br>than \$201) |
| 39 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - EMERSON ELEC CO COM                   | N/A | \$1,001 - \$15,000     | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 40 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - EXXON MOBIL CORP COM                  | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 41 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - FIDELITY NATL INFORMATION<br>SVCS COM | N/A | \$1,001 - \$15,000     |                            | None (or less<br>than \$201) |
| 42 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - FIFTH THIRD BANCORP COM               | N/A | \$15,001 -<br>\$50,000 | Capital Gains<br>Dividends | \$201 - \$1,000              |
| 43 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - GILEAD SCIENCES INC COM               | N/A | \$1,001 - \$15,000     |                            | None (or less<br>than \$201) |
| 44 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - INTEL CORP COM                        | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |

| #  | DESCRIPTION   | EIF | VALUE                  | INCOME TYPE                | INCOME<br>AMOUNT             |
|----|---|-----|------------------------|----------------------------|------------------------------|
| 45 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - JOHNSON & JOHNSON COM           | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 46 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Johnson Controls Intl PLC       | N/A | \$1,001 - \$15,000     | Dividends<br>Capital Gains | \$1,001 - \$2,500            |
| 47 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - JPMORGAN CHASE & CO COM         | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 48 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - M & T BK CORP COM               | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 49 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Medtronic PLC                   | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 50 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - MERCK & CO INC NEW COM          | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 51 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - MICROSOFT CORP COM              | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 52 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - NORDSTROM INC COM               | N/A | \$1,001 - \$15,000     | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 53 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - OCCIDENTAL PETE CORP DEL<br>COM | N/A | \$1,001 - \$15,000     | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 54 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - PEPSICO INC COM                 | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 55 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - PFIZER INC COM                  | N/A | \$15,001 -<br>\$50,000 | Capital Gains<br>Dividends | \$201 - \$1,000              |
| 56 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - PRAXAIR INC COM                 | N/A | \$1,001 - \$15,000     |                            | None (or less<br>than \$201) |
| 57 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - PRICE T ROWE GROUP INC COM      | N/A | \$15,001 -<br>\$50,000 | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 58 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - PROCTER & GAMBLE CO COM         | N/A | \$1,001 - \$15,000     | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 59 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - STARBUCKS CORP COM              | N/A | \$15,001 -<br>\$50,000 |                            | None (or less<br>than \$201) |
| 60 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - TARGET CORP COM                 | N/A | \$1,001 - \$15,000     | Dividends<br>Capital Gains | \$201 - \$1,000              |

| #  | DESCRIPTION  | EIF | VALUE                    | INCOME TYPE                | INCOME<br>AMOUNT             |
|----|--|-----|--------------------------|----------------------------|------------------------------|
| 61 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - TRAVELERS COMPANIES INC<br>COM                               | N/A | \$1,001 - \$15,000       |                            | None (or less<br>than \$201) |
| 62 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - UNITED PARCEL SERVICE INC CL<br>B                            | N/A | \$1,001 - \$15,000       | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 63 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - UNITED TECHNOLOGIES CORP<br>COM                              | N/A | \$15,001 -<br>\$50,000   | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 64 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - VERIZON COMMUNICATIONS INC<br>COM                            | N/A | \$1,001 - \$15,000       | Dividends<br>Capital Gains | \$201 - \$1,000              |
| 65 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Investment Managers Ser Tr<br>Brnztus Small Cap Value, BSCVX | Yes | \$50,001 -<br>\$100,000  |                            | None (or less<br>than \$201) |
| 66 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Maingate MLP Fund Class l                                    | Yes | \$100,001 -<br>\$250,000 |                            | \$2,501 - \$5,000            |
| 67 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Eaton Vance Floating Rate Cl I                               | Yes | \$15,001 -<br>\$50,000   |                            | \$201 - \$1,000              |
| 68 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Advisors Ser Tr Wasmer MN Inst,<br>WSHYX                     | Yes | \$15,001 -<br>\$50,000   |                            | \$201 - \$1,000              |
| 69 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Baird Funds Inc Interm Muni<br>Bond Ins                      | Yes | \$100,001 -<br>\$250,000 |                            | \$2,501 - \$5,000            |
| 70 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Fidelity Short/Interm Muni<br>Income                         | Yes | \$100,001 -<br>\$250,000 |                            | \$1,001 - \$2,500            |
| 71 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Fidelity Markets Inc, FNMIX                                  | Yes | \$15,001 -<br>\$50,000   |                            | \$1,001 - \$2,500            |
| 72 | Garland, TX, bonds   | N/A | \$15,001 -<br>\$50,000   | Interest                   | \$201 - \$1,000              |
| 73 | WINTER PARK, FL, bonds   | N/A | \$15,001 -<br>\$50,000   | Interest                   | \$201 - \$1,000              |

| #  | DESCRIPTION                         | EIF | VALUE                          | INCOME TYPE | INCOME<br>AMOUNT             |
|----|-------------------------------------|-----|--------------------------------|-------------|------------------------------|
| 74 | Clark County, WA, bonds             | N/A | None (or less<br>than \$1,001) | Interest    | \$1,001 - \$2,500            |
| 75 | Montgomery County, TX, bonds        | N/A | \$15,001 -<br>\$50,000         | Interest    | \$201 - \$1,000              |
| 76 | Sarasota, FL, bonds                 | N/A | \$15,001 -<br>\$50,000         | Interest    | \$201 - \$1,000              |
| 77 | Washington State, bonds             | N/A | \$15,001 -<br>\$50,000         | Interest    | \$201 - \$1,000              |
| 78 | Cameron County, TX, bonds           | N/A | \$15,001 -<br>\$50,000         | Interest    | \$201 - \$1,000              |
| 79 | Denison, TX, bonds                  | N/A | \$15,001 -<br>\$50,000         | Interest    | \$1,001 - \$2,500            |
| 80 | Mesquite, TX, bonds                 | N/A | \$15,001 -<br>\$50,000         | Interest    | \$201 - \$1,000              |
| 81 | Edinburg, TX, bonds                 | N/A | \$15,001 -<br>\$50,000         | Interest    | \$201 - \$1,000              |
| 82 | Carrollton, TX, bonds               | N/A | \$15,001 -<br>\$50,000         | Interest    | \$201 - \$1,000              |
| 83 | Brigham City, Utah, bonds           | N/A | \$15,001 -<br>\$50,000         | Interest    | \$201 - \$1,000              |
| 84 | Grant County, WA, bonds             | N/A | \$15,001 -<br>\$50,000         | Interest    | \$201 - \$1,000              |
| 85 | Grand Prairie, TX, bonds            | N/A | \$15,001 -<br>\$50,000         | Interest    | None (or less<br>than \$201) |
| 86 | Midland, TX , bonds                 | N/A | \$15,001 -<br>\$50,000         | Interest    | \$1,001 - \$2,500            |
| 87 | Fort Bend County, TX, bonds         | N/A | \$15,001 -<br>\$50,000         | Interest    | \$201 - \$1,000              |
| 88 | University North TX Univ Rev, bonds | N/A | \$1,001 - \$15,000             | Interest    | \$201 - \$1,000              |
| 89 | University North TX Univ Rev, bonds | N/A | \$15,001 -<br>\$50,000         | Interest    | \$201 - \$1,000              |

| #   | DESCRIPTION  | EIF | VALUE                    | INCOME TYPE | INCOME<br>AMOUNT             |
|-----|--|-----|--------------------------|-------------|------------------------------|
| 90  | Lower CO Riv Auth, TX, bonds   | N/A | \$15,001 -<br>\$50,000   | Interest    | \$201 - \$1,000              |
| 91  | El Paso, TX, bonds   | N/A | \$15,001 -<br>\$50,000   | Interest    | \$201 - \$1,000              |
| 92  | Vero Beach, FL, bonds  | N/A | \$15,001 -<br>\$50,000   | Interest    | \$1,001 - \$2,500            |
| 93  | San Antonio, TX, bonds   | N/A | \$15,001 -<br>\$50,000   | Interest    | \$1,001 - \$2,500            |
| 94  | Trinity River Auth, TX, bonds  | N/A | \$15,001 -<br>\$50,000   | Interest    | \$201 - \$1,000              |
| 95  | Westlake Muni Utility Dist No 1, TX, bonds   | N/A | \$15,001 -<br>\$50,000   | Interest    | \$201 - \$1,000              |
| 96  | University Utah Univ, bonds  | N/A | \$15,001 -<br>\$50,000   | Interest    | None (or less<br>than \$201) |
| 97  | San Juan - Alamo, TX, bonds  | N/A | \$15,001 -<br>\$50,000   | Interest    | \$1,001 - \$2,500            |
| 98  | Huntsmn - Cooper, LC - Fidelity Financial<br>Account - PIMCO 1 - 5 US TIPS Index                 | Yes | \$100,001 -<br>\$250,000 |             | \$201 - \$1,000              |
| 99  | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Versus Cap Mltimgr RE Inc Fund I         | Yes | \$50,001 -<br>\$100,000  |             | \$2,501 - \$5,000            |
| 100 | Huntsman - Cooper, LC  -  Fidelity Financial<br>Account  -  AQR Funds Multi Strat Alt l          | Yes | \$15,001 -<br>\$50,000   |             | \$201 - \$1,000              |
| 101 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - AQR Funds Long/Short Equity l            | Yes | \$15,001 -<br>\$50,000   |             | \$1,001 - \$2,500            |
| 102 | Huntsman - Cooper, LC  -  Fidelity Financial<br>Account  -  Lazard Global Infra Inst             | Yes | \$50,001 -<br>\$100,000  |             | \$1,001 - \$2,500            |
| 103 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Stone Ridge Tr III All Asset Var         | Yes | \$50,001 -<br>\$100,000  |             | \$2,501 - \$5,000            |
| 104 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Stone Ridge US Master Var Risk<br>Prem M | Yes | \$50,001 -<br>\$100,000  |             | \$2,501 - \$5,000            |
| 105 | Huntsman - Cooper, LC - Fidelity Financial<br>Account - 361 Mgd Futures Strat l                  | Yes | \$15,001 -<br>\$50,000   |             | None (or less<br>than \$201) |

| #     | DESCRIPTION   | EIF | VALUE                   | INCOME TYPE | INCOME<br>AMOUNT             |
|-------|---|-----|-------------------------|-------------|------------------------------|
| 106   | Huntsman - Cooper, LC - Fidelity Financial<br>Account - AQR Funds Mgd Futures Strat I                           | Yes | \$50,001 -<br>\$100,000 |             | None (or less<br>than \$201) |
| 107   | Huntsman - Cooper, LC  -  Fidelity Financial<br>Account  -  John Hancock Global Absolute<br>Return Strat l      | Yes | \$50,001 -<br>\$100,000 |             | None (or less<br>than \$201) |
| 108   | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Forum Funds Absolute Strat Inst                         | Yes | \$50,001 -<br>\$100,000 |             | \$5,001 - \$15,000           |
| 109   | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Fidelity The Merger Fund<br>Institutional Class – MERIX | Yes | \$15,001 -<br>\$50,000  |             | \$201 - \$1,000              |
| 110   | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Pioneer ILS Interval Fund                               | Yes | \$50,001 -<br>\$100,000 |             | \$5,001 - \$15,000           |
| 111   | Huntsman - Cooper, LC - Fidelity Financial<br>Account - Stone Ridge Tr II Rns Rsk PR Int                        | Yes | \$50,001 -<br>\$100,000 |             | \$2,501 - \$5,000            |
| 112   | Dependent Child Trust: Investment in HGC<br>LLC   |     |                         |             |                              |
| 112.1 | SPDR S&P 500 ETF Trust  | Yes | \$1,001 - \$15,000      |             | \$201 - \$1,000              |
| 112.2 | Powershares OQQ Trust ETF Index Tracking<br>Stock   | Yes | \$15,001 -<br>\$50,000  |             | None (or less<br>than \$201) |
| 112.3 | SPDR Dow Jones Industrial Mutual Fund<br>Average ETF Trust  | Yes | \$1,001 - \$15,000      |             | None (or less<br>than \$201) |
| 112.4 | Microsoft Corporation CMN   | N/A | \$1,001 - \$15,000      |             | None (or less<br>than \$201) |
| 112.5 | Fidelity Money Market Funds   | Yes | \$1,001 - \$15,000      |             | None (or less<br>than \$201) |
| 112.6 | Fidelity Government Money Market  | Yes | \$1,001 - \$15,000      |             | None (or less<br>than \$201) |
| 112.7 | Apple Inc   | N/A | \$1,001 - \$15,000      |             | None (or less<br>than \$201) |
| 112.8 | Crown Castle Intl Corp New Com ISIN   | N/A | \$1,001 - \$15,000      |             | None (or less<br>than \$201) |
| 112.9 | Dow Chemical Co   | N/A | \$1,001 - \$15,000      |             | None (or less<br>than \$201) |

| #      | DESCRIPTION                                 | EIF | VALUE                          | INCOME TYPE | INCOME<br>AMOUNT             |
|--------|---|-----|--------------------------------|-------------|------------------------------|
| 112.10 | Home Depot Inc Com                          | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 112.11 | Huntsman Corp                               | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 112.12 | JPMorgan Chase & Co                         | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 112.13 | Park Hannifin Corp                          | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 112.14 | United Health Group                         | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 112.15 | Vanguard Sector Index FDS Vanguard          | Yes | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 112.16 | SPDR TR Unit Ser 1                          | Yes | None (or less<br>than \$1,001) |             | \$201 - \$1,000              |
| 112.17 | Wisdomtree Japan Hedged                     | Yes | None (or less<br>than \$1,001) |             | \$201 - \$1,000              |
| 112.18 | Money Market Deposit Account (cash account) | N/A | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 112.19 | Mainstay Convertible Fund                   | Yes | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 112.20 | Aberdeen Emerging Markets                   | Yes | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 112.21 | Aberdeen US Small Cap                       | Yes | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 112.22 | Deutsche X Trackers MSCI Europe             | Yes | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |
| 112.23 | Invesco European Growth Fund                | Yes | \$1,001 - \$15,000             |             | None (or less<br>than \$201) |

# 7. Transactions

## (N/A) - Not required for this type of report

## 8. Liabilities

| # | CREDITOR NAME                    | ТҮРЕ        | AMOUNT                       | YEAR<br>INCURRED | RATE  | TERM          |
|---|----------------------------------|-------------|------------------------------|------------------|-------|---------------|
| 1 | Zions First National Bank - Visa | Credit Card | \$100,001 -<br>\$250,000     | 2015             | 12.49 | On demand     |
| 2 | Zions First National bank        | HELOC       | \$500,001 -<br>\$1,000,000   | 2014             | 4.49  | Due 7/31/2044 |
| 3 | Zions First National Bank        | HELOC       | \$1,000,001 -<br>\$5,000,000 | 2014             | 4.49  | Due 1/29/2039 |
| 4 | Zions First National Bank        | Term Loan   | \$100,001 -<br>\$250,000     | 2014             | 5.73  | Due 9/1/2019  |

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## **Endnotes**

| PART | #  | ENDNOTE  |
|------|----|--|
| 2.   | 7  | This plan includes the Huntsman Supplemental Executive retirement plan.  |
| 2.   | 27 | This plan includes the Huntsman Salary Deferral Plan and the Money Purchase Pension Plan.  |
| 2.   | 29 | He is due to receive the same cash amount in 2017, but the amount would be pro-rated if he leaves the FMC Board prior to year end. |

| PART | #  | ENDNOTE  |
|------|----|--|
| 2.   | 30 | All travel expenses incurred by Mr. Huntsman were for business related travel in connection with Chevron Board and Committee meetings, with the majority of air travel on the corporate jet. From January 1, 2016 – April 24, 2017, Chevron paid \$1,116 in premiums for accidental death and dismemberment insurance coverage for Mr. Huntsman. |
|      |    | Mr. Huntsman was issued two Chevron employee credit cards, which provides a discount of \$0.10 per gallon. The discount is available to all eligible Chevron employees and directors. The discount available on this card will continue after Mr. Huntsman leaves the Board.   |
|      |    | After Mr. Huntsman leaves the Board, he is also eligible to participate (up to \$3,000 annually) in Chevron Humankind, a charitable matching gift and community involvement program, which matching benefit is also available to any retired employee or Director.   |
| 6.   | 9  | underlying assets below reporting thresholds.  |
| 6.   | 21 | underlying assets below reporting thresholds.  |

## **Summary of Contents**

#### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

#### 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

#### 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person. subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another: (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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