

## Executive Branch Personnel

### Public Financial Disclosure Report (OGE Form 278e)

#### Filer's Information

---

**Francisco, Noel John**

Solicitor General, Department of Justice

---

Other Federal Government Positions Held During the Preceding 12 Months:

Acting Solicitor General/Principal Deputy Solicitor General (1/2017 - 3/2017)

Senior Adviser to the Associate Attorney General (3/2017 - Present)

---

Names of Congressional Committees Considering Nomination:

- **Committee on the Judiciary**
- 

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Francisco, Noel John [electronically signed on 03/14/2017 by Francisco, Noel John in Integrity.gov]**

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Lofthus, Lee, Certifying Official [electronically signed on 04/26/2017 by Lofthus, Lee in Integrity.gov]**

---

Other review conducted by

**/s/ Shaw, Cynthia K, Ethics Official [electronically signed on 04/25/2017 by Shaw, Cynthia K in Integrity.gov]**

---

/s/ Apol, David, Certifying Official [electronically signed on 04/28/2017 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Jones Day	Washington, District of Columbia	Law Firm	Partner	1/2007	1/2017
2	Historical Society of the District of Columbia Circuit	Washington, District of Columbia	Non-Profit	Member of Board of Directors	4/2011	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Jones Day (law firm) See Endnote	N/A		Partnership Share plus earnings supplement	\$4,584,545
2	Jones Day capital account	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
3	Ishares Dow Jones U.S. Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Powershares DWA Momentum Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Guggenheim S&P 500 Equal Weight ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
6	SPDR S&P 500 ETF Trust	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Vanguard S&P 500 ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Vanguard Total Stock Market ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Home Depot	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
10	Apple Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
11	Automatic Data Processing	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
12	First Trust Cloud Computing ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
13	iShares North American Tech - Software ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
14	Industrial Select SPDR fund	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
15	SPDR KBW Bank ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
16	SPDR S&P Health Care Equipment ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
17	SPDR S&P Insurance ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
18	Vanguard Consumer Discretionary Index Fund	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
19	Vanguard Consumer Staples Index Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
20	Vanguard Financials ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
21	Vanguard Industrial ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
22	Vanguard Information Tech Index	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
23	American Tower REIT Inc (Hldg Co) shs	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
24	American Wtr Wrks Co. Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25	Cardinal Health	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
26	Chubb Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
27	Cisco	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
28	Comcast Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
29	Costco Wholesale Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30	Danaher Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
31	Ecolab Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
32	FedEx Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
33	Honeywell Intl Inc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
34	Lockheed Martin	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
35	Medtronic Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
36	Microsoft Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
37	Mondelez International	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
38	NextEra Energy	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
39	Thermo Fisher Scientific	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
40	Total S.A. Sp. ADR	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	Union Pacific	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
42	Verizon Communications	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
43	Visa Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
44	Hotchkis and Wiley Mid Cap Value	See Endnote	Yes	\$100,001 - \$250,000	\$2,501 - \$5,000
45	Jones Day 2035 Fund	See Endnote	No	\$500,001 - \$1,000,000	None (or less than \$201)
45.1	Vanguard Institutional Index Instl Pl	Yes			
45.2	Jones Day Emerging Markets Fund	No			
45.2.1	OFI Global Trust	Yes			
45.2.2	Vanguard Emerging Markets Stock Index	Yes			
45.2.3	GMO Emerging Markets II	Yes			
45.3	MFS Instl International Equity	Yes			
45.4	Morgan Stanley Inst International Eq 1	Yes			
45.5	Diamond Hill Small-Mid Cap Y	Yes			
45.6	T. Rowe Price Mid-Cap Growth	Yes			
45.7	Wellington CIF Unconstrained Themes	Yes			
45.8	Jones Day Real Estate Fund	No			
45.8.1	Principal Global Inv Prin US	Yes			
45.8.2	Vanguard REIT Index Adm	Yes			
45.9	PIMCO All Asset Instl	Yes			
45.10	Dodge & Cox Stock	Yes			
45.11	Putnam Dynamic Risk Allocation Y	Yes			
45.12	GMO Benchmark-Free Allocation Ser R6	Yes			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
45.13	Touchstone Sands Capital Inst Gr	Yes			
46	Jones Day, defined benefit plan (value not readily ascertainable) eligible for approx \$33,000 annually at age 65 if plan meets hurdle rate	N/A			None (or less than \$201)
47	Term Life Insurance policy (Taxable Fringe Benefit)	N/A	None (or less than \$1,001)	Approximate value of term insurance policy received by me as a taxable fringe benefit from Jones Day while a partner of the firm. Policy no longer in effect.	\$1,950

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Jones Day	Cleveland, Ohio	Capital account was paid out on January 20, 2017, prior to assuming a position at DOJ.	1/2007
2	Jones Day	Cleveland, Ohio	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions, with the exception of \$54,000 that will be deposited in my account during the 4th quarter of 2017 pursuant to the firm's policy. (This \$54,000 is included in the income reported as Partnership Share in Section 2 of this form.) This plan has both voluntary and mandatory components. I began participation in the voluntary component of the plan when I joined the firm in July 2005 and began participation in the mandatory portion of the plan when I became a partner in January 2007.	7/2005
3	Jones Day	Cleveland , Ohio	I will continue to participate in the Jones Day defined benefit plan.	1/2007

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	Jones Day	Cleveland, Ohio	Jones Day has withheld \$550,000 of my income as a tax retainer to pay state and foreign taxes on my behalf and attributable to my income earned while a Partner of the firm. Any unused amounts will be returned to me once taxes are resolved, which could be up to 7 years from January 2017. This \$550,000 is included in the income reported as Partnership Share in Section 2 of this form.	1/2017

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Jones Day	Washington, District of Columbia	legal services to firm clients, management of Government Relations Practice Group
2	The Boeing Company	Chicago, Illinois	legal services
3	Christian Educators Association	Westlake, Ohio	legal services
4	Community Financial Services Association of America	Alexandria, Virginia	legal services
5	HCA, Inc.	Nashville, Tennessee	legal services
6	International Business Machines Corporation	Armonk, New York	legal services
7	Internet Corp. for Assigned Names & Numbers (ICANN)	Los Angeles, California	legal services
8	Loma Linda University Adventist Health Sciences	Loma Linda, California	legal services
9	Reynolds American, Inc	Winston-Salem, North Carolina	legal services
10	R.J. Reynolds Tobacco Company	Winston-Salem, North Carolina	legal services
11	The Museum of the Bible	Washington, District of Columbia	legal services



#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
12	UnityPoint Health	West Des Moines, Iowa	legal services
13	University of North Carolina, Board of Trustees of UNC, W. Louis Bisette, Jr., Margaret Spellings	Chapel Hill, North Carolina	legal services
14	Herbert Vederman	Palm Beach, Florida	legal services
15	Expedia	Bellevue, Washington	legal services
16	Robert McDonnell	Richmond, Virginia	legal services

## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
2	Altria Group	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
3	Berkshire Hathaway Inc. Class B	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Cisco Systems Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5	Select Sector SPDR Consumer Discretionary	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6	Eli Lilly & Co	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	First Trust Cloud Computing ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	General Electric Co	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9	General Dynamics Corp	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
10	Health Care Select Sector SPDR Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11	iShares iBonds Dec 2020 Term Corporate ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	iShares iBonds Dec 2021 Term Corporate ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
13	iShares iBonds Dec 2022 Term Corporate ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	iShares iBonds Dec 2023 Term Corporate ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
15	iShares iBonds Dec 2024 Term Corporate ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
16	iShares iBonds Dec 2025 Term Corporate ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
17	iShares iBonds Dec 2026 Term Corporate ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	iShares North American Tech Software (IGV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
19	Kinder Morgan	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20	Lowe's	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
21	Materials Select Sector SPDR Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
22	Merck & Co	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23	Microsoft	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24	Norfolk Southern Co.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
25	Paychex Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
26	Energy Select Sector SPDR Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
27	Industrial Select Sector SPDR Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000
28	SPDR S&P Bank ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
29	SPDR S&P Healthcare Equipment	Yes	\$15,001 - \$50,000		None (or less than \$201)
30	SPDR S&P Insurance ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
31	Financial Select Sector SPDR Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
32	Vanguard Consumer Staples ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
33	Vanguard Consumer Discretionary ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
34	Vanguard Financials ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
35	Vanguard Industrials ETF	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
36	Vanguard Information Tech ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
37	Ishares Edge MSCI Min Vol Emerging Markets ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
38	Ishares Edge MSCI Min Vol EAFE ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	Vanguard Utilities ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
40	Alphabet (Google)	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	Amazon	N/A	\$1,001 - \$15,000		None (or less than \$201)
42	Danaher Corp	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
43	Facebook Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
44	Apple, Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
45	Westrock Co.	See Endnote	N/A	\$15,001 - \$50,000	Dividends \$201 - \$1,000
46	Acco Brands Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
47	Ingevity Corp	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)
48	Ishares Dow Jones US index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
49	Powershares DWA Momentum Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
50	Guggenheim S&P 500 Equal Weight ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
51	SPDR S&P 500 ETF Trust	Yes	\$1,001 - \$15,000		None (or less than \$201)
52	Vanguard S&P 500 ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
53	Vanguard Total Stock Market ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
54	Adobe Sys	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
55	Affiliated Managers Group	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
56	American Tower REIT Inc (Holding Co.)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
57	Anadarko Petroleum Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
58	Blackrock Inc.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
59	Broadcom	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
60	CA Inc	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
61	Carnival Corp Paired Shares	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
62	Cincinnati Financial Corp	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
63	Costco Wholesale Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
64	Diageo PLC ADR	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
65	Duke Energy	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
66	First Trust Exchange Traded Fund Dow Jones Internet	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
67	Fortive Co	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
68	Hasbro Inc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
69	Honeywell Intl Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
70	Intel Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
71	iShares iBoxx \$ Invest Grade Corp Bond	Yes	None (or less than \$1,001)		\$201 - \$1,000
72	iShares Core MSCI Emerging Markets ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
73	iShares MBS ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
74	iShares MSCI Japan	Yes	None (or less than \$1,001)		\$201 - \$1,000
75	iShares NASDAQ Biotech ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
76	iShares TIPS Bond ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
77	iShares 3-7 year Treasury Bond ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
78	Mondelez International	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
79	Bristol-Myers Squibb	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
80	Newmarket	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
81	Pfizer	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
82	Powershares Emerging Markets Sovereign Debt ETF (PCY)	Yes	None (or less than \$1,001)		\$201 - \$1,000
83	Reynolds American Inc.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
84	Thermo Fisher Scientific	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
85	Vanguard Energy ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
86	Vanguard Intermediate Term Bond ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
87	Vanguard Materials ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
88	U.S. bank account #2 (cash)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
89	U.S. bank account #3 (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
90	529 Plan for DC-1 (filer)	No			
90.1	State Street Equity Index 500 - DC529	Yes	\$50,001 - \$100,000		None (or less than \$201)
91	529 Plan for DC-2 (filer)	No			
91.1	State Street Equity Index 500-DC 529	Yes	\$1,001 - \$15,000		None (or less than \$201)
92	529 Plan for DC-1 (spouse)	No			
92.1	State Street Equity Index 500 - DC529	Yes	\$50,001 - \$100,000		None (or less than \$201)
92.2	Ameritas Principal Plus - DC529	Yes	\$1,001 - \$15,000		None (or less than \$201)
93	529 Plan for DC-2 (spouse)	No			
93.1	State Street Equity Index 500 - DC529	Yes	\$1,001 - \$15,000		None (or less than \$201)
94	U.S. bank account #4 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
95	UGMA Account	No			
95.1	Vanguard Balanced Index Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
95.2	Vanguard Emerging Markets Stock Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
95.3	Vanguard Intermediate-Term Bond Index Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
95.4	Vanguard Mid-Cap Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
95.5	Vanguard Total Bond Market Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
95.6	Vanguard Wellington Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
96	Irrevocable life insurance trust (ILIT)	See Endnote	No		

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM	
1	Key Bank	See Endnote	Exercised Line of Credit	\$15,001 - \$50,000	2009	prime until 1/1/2017, then prime minus .50	5 years interest + 20 equal payments over 5 years
2	Key Bank	See Endnote	Exercised Line of Credit	\$15,001 - \$50,000	2013	prime until 1/1/2017, then prime minus .50	5 years interest + 20 equal payments over 5 years
3	Key Bank	See Endnote	Exercised Line of Credit	\$15,001 - \$50,000	2014	prime until 1/1/2017, then prime minus .50	5 years interest + 20 equal payments over 5 years
4	Merrill Lynch Loan Management Account	See Endnote	Exercised Line of Credit	\$500,001 - \$1,000,000	2016	LIBOR + 3.125	revolving
5	Key Bank	See Endnote	Mortgage on Personal Residence	\$50,001 - \$100,000	2013	prime until 1/1/2017, then prime minus .50	5 years interest + 20 equal payments over 5 years



#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
6	Suntrust Bank	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2013	3.375	30 years
7	Bank of America Home Equity Line of Credit	Mortgage on Personal Residence	\$50,001 - \$100,000	2005	variable, currently 4%	25 years

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
2.	1	Additionally, during the reporting period, Jones Day distributed to me \$1,944,647 that I earned prior to the reporting period. All the amounts in this section reflect gross amounts, prior to various offsets for certain taxes, insurance, and other expenses paid directly by the firm. Amounts are approximate subject to finalization of the K-1. This figure also includes \$54,000 that will be deposited in the Jones Day Retirement Plan in the fourth quarter of 2017 and a \$550,000 tax retainer which is being held by Jones Day for up to seven years to pay outstanding taxes.
2.	44	Reflects combined value of 401(a) and 401(k) plans
2.	45	Reflects combined value of 401(a) and 401(k) plans
6.	45	Partially held in undeposited shares of Meadwestvaco (MWW)
6.	47	Partially held in undeposited shares of Meadwestvaco (MWW)
6.	96	Underlying assets of the ILIT are not reportable.
8.	1	Loan amount paid in full upon separation from Jones Day
8.	2	Loan amount paid in full upon separation from Jones Day
8.	3	Loan amount paid in full upon separation from Jones Day

PART	#	ENDNOTE
8.	4	Current balance is zero. Number reflects unintentional briefly-held large loan resulting from clerical error by former investment adviser in January 2016
8.	5	Loan amount paid in full upon separation from Jones Day

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---