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**Date:** 8/29/2018

**Appraiser:** Carly E. Docca

**Agency:** Health Resources and Services Administration

**Subject:** DAA-0512-2017-0002

## INTRODUCTION

### Schedule Overview

The National Practitioner Data Bank (NPDB)

### Additional Background Information

The National Practitioner Data Bank (NPDB) is a web-based repository of reports containing information on medical malpractice payments and certain adverse actions related to health care practitioners, providers, and suppliers. Established by Congress in 1986, it is a workforce tool that prevents practitioners from moving state to state without disclosure or discovery of previous damaging performance. The NPDB assists in promoting quality health care and deterring fraud and abuse within health care delivery systems.

Federal regulations authorize eligible entities to report to and/or query the NPDB. These registered entities consist of hospitals, health plans, and state licensing boards. Individuals and organizations who are subjects of these reports have access to their own information but the reports are confidential, and not available to the public. However, the Public Use Data File is available to the general public for research purposes; this file does not include any information that identifies individual practitioners or reporting entities. The file is designed to provide data for statistical reporting and analysis only.

I recommend approval of the attached schedule.

## APPRAISAL

### Item 0001 – NPDB Reports

**Proposed Disposition:** Temporary.

**Appropriateness of Proposal Disposition:** Appropriate.

**Appraisal Justification:**

- Has little or no research value.
- Previously approved as temporary (DAA-0512-2014-0006-0001)
- Does not document the actions of Federal officials.

**Adequacy of Proposed Retention Periods:** Adequate from the standpoint of legal rights and accountability.

**Media Neutrality:** Approved.

### **Item 0002 – NPDB Public Use File**

Selected variables from medical malpractice payment and adverse licensure, clinical privileges, professional society membership, and Drug Enforcement Administration reports.

**Proposed Disposition:** Permanent.

**Appropriateness of Proposal Disposition:** Appropriate.

**Appraisal Justification:**

- High potential research value.

**Adequacy of Proposed Transfer Instructions:** Appropriate

**Media Neutrality:** Approved

### **Item 0003 – NPDB Subject Profile**

**Proposed Disposition:** Temporary.

**Appropriateness of Proposal Disposition:** Appropriate.

**Appraisal Justification:**

- Has little or no research value.
- Does not document the actions of Federal officials.

**Adequacy of Proposed Retention Periods:** Adequate from the standpoint of legal rights and accountability.

**Media Neutrality:** Approved.

### **Item 0004 – Query Transactions**

**Proposed Disposition:** Temporary.

**Appropriateness of Proposal Disposition:** Appropriate.

**Appraisal Justification:**

- Has little or no research value.
- Previously approved as temporary (DAA-0512-2014-0006-0002)
- Does not document the actions of Federal officials.

**Adequacy of Proposed Retention Periods:** Adequate from the standpoint of legal rights and accountability.

**Media Neutrality:** Approved.

### **Item 0005 – Compliance and Research Files**

**Proposed Disposition:** Temporary.

**Appropriateness of Proposal Disposition:** Appropriate.

**Appraisal Justification:**

- Has little or no research value.
- Previously approved as temporary (DAA-0512-2014-0006-0003)
- Does not document the actions of Federal officials.

**Adequacy of Proposed Retention Periods:** Adequate from the standpoint of legal rights and accountability.

**Media Neutrality:** Approved.

**Item 0006 – Dispute Resolution Cases**

**Proposed Disposition:** Temporary.

**Appropriateness of Proposal Disposition:** Appropriate.

**Appraisal Justification:**

- Has little or no research value.
- Previously approved as temporary (DAA-0512-2014-0006-0004)
- Does not document the actions of Federal officials.

**Adequacy of Proposed Retention Periods:** Adequate from the standpoint of legal rights and accountability.

**Media Neutrality:** Approved.

**Item 0007 – Entity Registration Forms**

**Proposed Disposition:** Temporary.

**Appropriateness of Proposal Disposition:** Appropriate.

**Appraisal Justification:**

- Has little or no research value.
- Does not document the actions of Federal officials.

**Adequacy of Proposed Retention Periods:** Adequate from the standpoint of legal rights and accountability.

**Media Neutrality:** Approved.

*Carly E. DoCCA*

**CARLY E. DOCCA**

**Appraiser, Appraisal Team 3**

**Records Appraisal and Agency Assistance (ACRA)**